



Board of Directors

Meeting Date: May 20, 2021

[[!Agenda Item No. {{item.number}}!]]

Adopt the Final Budget and Overall Work Program for Fiscal Year 2021-2022

Action

Prepared by: Loretta Su

Attachments: Yes

Approved by: James Corless

Referring Committee: Policy & Innovation

1. Issue:

The Final Budget and Overall Work Program (Budget/OWP) for Fiscal Year (FY) 2021-2022 are ready for board action

2. Recommendation:

The Policy and Innovation Committee unanimously recommends that the Board of Directors adopt the Final Budget/OWP for FY 2021-2022.

3. Background/Analysis:

The Fixing America's Surface Transportation (FAST) Act calls for the development of the OWP and Budget by the federally designated Metropolitan Planning Organization (MPO). As such, SACOG's OWP describes the continuing, comprehensive, and coordinated metropolitan planning process for the six-county Sacramento region. The Budget/OWP includes annual agency revenues and expenditures, and is used by Caltrans, federal agencies, and others to track activities of SACOG, Caltrans, the El Dorado County Transportation Commission (EDCTC), and the Placer County Transportation Planning Agency (PCTPA). SACOG's Budget/OWP also includes funds programmed for Board & Advocacy, Capital Assets, locally funded projects with costs ineligible to be charged to grant programs that are not included in the OWP.

SACOG manages two primary budgets. The first and largest budget is SACOG's Operations Budget that covers the OWP activities. The Budget/OWP is mainly funded through a combination of formula-based federal and state revenue sources, supplemented by short term specific discretionary and non-discretionary grants and contract funds. The second budget, the Board and Advocacy Budget, includes a portion of technical assistance SACOG provides to its members, the agency's state and federal advocacy efforts, as well as board costs such as per diem and mileage expenses. The Board and Advocacy Budget is primarily funded by annual dues payments from SACOG member cities and counties.

The OWP serves as the primary reference for SACOG's budget and work activities for the upcoming fiscal year (beginning July 1, 2021) and as a grant agreement between SACOG and Caltrans for certain formula state and federal funds that support our ongoing operations, planning, and programming activities. The OWP work plan activities for FY 2021-2022 align and support SACOG's three strategic goals: (1) Economic Prosperity, (2) Connected Communities and (3) Vibrant Places.

An administrative draft version of the OWP was provided to federal, state and local partners to review and comment in March 2021. The board authorized release of the Draft Budget/OWP for FY 2021-2022 for public comment at the April 2021, board meeting. Caltrans requires that the board adopt the final Budget/OWP in May to begin expenditure of funds effective July 1, 2021. The Final Budget/OWP reflects comments received from SACOG's federal and state partners, including updates to any impacts to public outreach and involvement due to the COVID-19 pandemic, several project descriptions, tasks/end products, and detailed budget tables. **Attachment J** includes the review comments received from Caltrans and Federal Highway Administration (FHWA) along with SACOG's responses. None of the comments SACOG received on the draft OWP resulted in a fiscal impact to the Budget.

The total revenues and expenditures in the Final Budget did increase by \$687,731, comparing to the Draft Budget released by the board in April 2021. **Attachment A** provides a summary of revenues changes by funding sources for FY 2021-2022 Final Budget/OWP, comparing to Draft Budget/OWP. The primary increase includes anticipated stimulus funding from Federal Highway Infrastructure Programs - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (HIP-CRRSAA) of \$400,000 and a more accurate accounting of grant funding that is anticipated to carryover from the current fiscal year into next FY 2021- 2022.

Due to the size of the document, the Final OWP for FY 2021-2022 is available to view or download on SACOG's website by clicking this link: [FY 2021-2022 OWP](#).

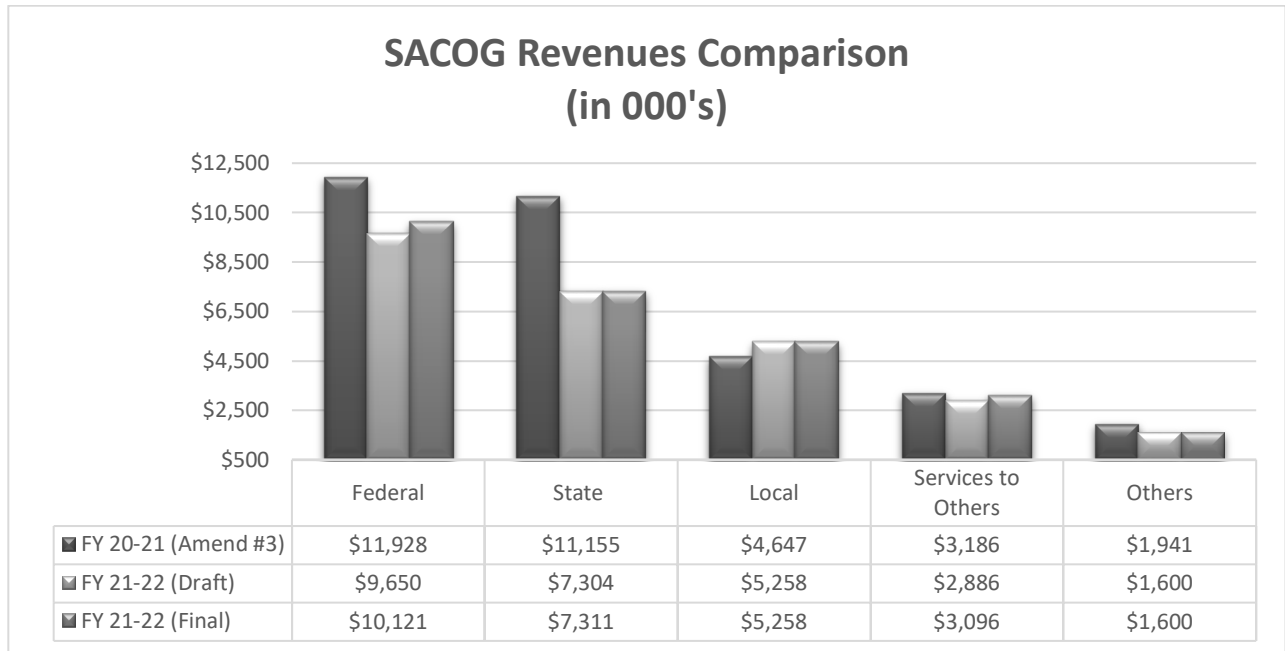
4. Discussion/Analysis:

SACOG's Operations Budget

The Budget/OWP for FY 2021-2022 fully funds OWP work plan activities, existing staff and two new limited-term positions. These two new positions are necessary to continue the work on SACOG's Race, Equity and Inclusion Initiative and to support the update of the Metropolitan Transportation Plan/Sustainable Communities Strategy (MTP/SCS). As we continue to recover from the financial impacts of COVID-19, the Budget/OWP includes the use of \$526,000 in fund balance to support this additional initiative and work plan activities. Staff has taken a conservative approach to forecasting revenues and only included grants and other revenues that are secured. Several grant applications are still outstanding and any successful applications in the coming months may require adjustments to the budget. Other adjustments may include carryover funds from the current fiscal year that will not be fully spent by June 30, 2021, and any revisions to formula funds pending Caltrans and federal agencies review. The unassigned fund balance for Planning & Administration is projected to be \$3.2 million at June 30, 2021, so the use of fund balance will leave approximately \$2.7 million in unassigned fund balance. This amount does not include the estimated \$800,000 of TDA Carryover Funds that was committed to ongoing projects and activities like Sacramento Region Parks and Trails Strategic Development Plan, TDA Triennial Performance Audits and MTP/SCS Implementation.

Revenues

The estimated revenues for FY 2021-2022 is \$27,385,913, a decrease of approximately \$5.5 million or 16.7 percent, comparing to \$32,856,673 in FY 2020-2021 Budget/OWP, as amended in April 2021. The chart below shows a comparison of revenues for FY 2020-2021 vs. FY 2021-2022 (draft) vs. FY 2021-2022 (Final).



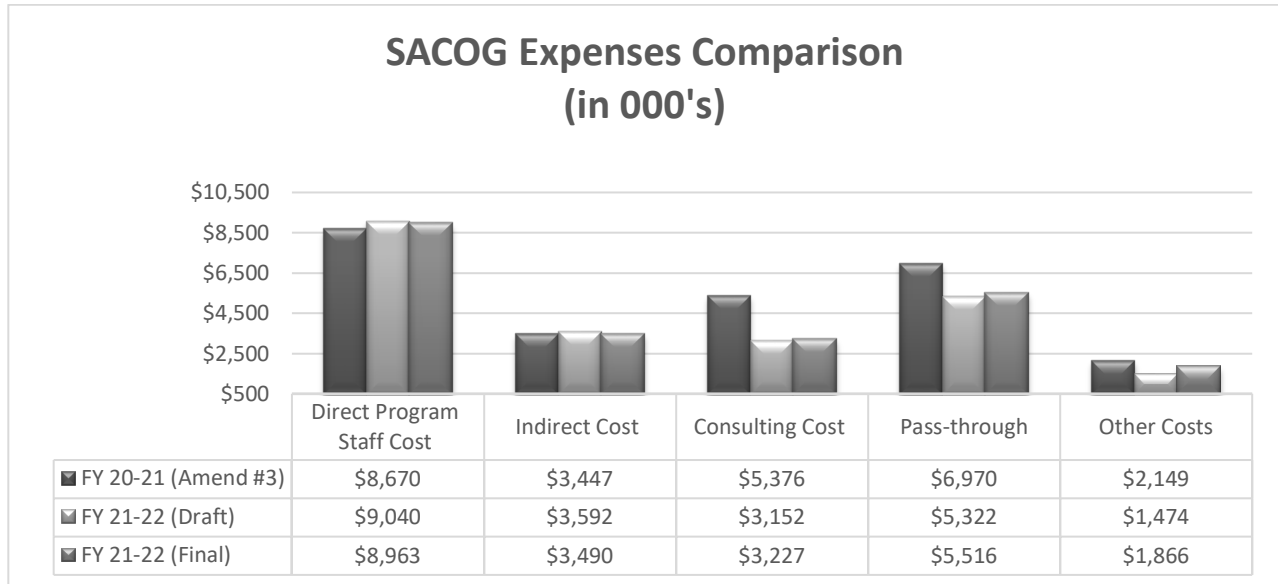
As noted below, several significant work efforts are completed or nearing completion in FY 2020-2021, so revenues and expenditure associated with funding those work products have decreased. Summary of projects and activities at or nearing completion in FY 2020-2021:

- Regional Progress Report
- Rural-Urban Connection Strategy
- Airport Land Use Compatibility Plan (ALUCP)/Mather Airport
- Rural Downtown/Main Street Planning in the Sacramento Region
- Regional Bike/Ped Data Collection
- Big Data Project
- Regional Transit Optimization and Prioritization Plan
- REAP Round One Funding

The decrease in revenues and expenditures were partially offset by several new projects and activities in FY 2021-2022.

- Coordinated Rural Opportunities
- Downtown Transit Sacramento
- 2020 Imagery Collection
- MTP/SCS Update
- Race, Equity & Inclusion Initiative

Attachment B provides a summary of revenues changes by funding sources for FY 2021-2022 Budget/OWP, comparing to FY 2020-2021 Budget/OWP, as amended. **Attachments C and D** provides a summary of revenues and expenditures included in the FY 2021-2022 Budget/OWP. **Attachments E and F** show how revenues and expenditures included in the FY 2021-2022 Budget/OWP are allocated across the agency’s work plan activities. The chart below shows a comparison of expenses for FY 2020-2021 vs. FY 2021-2022 (draft) vs. FY 2021-2022 (Final).



*Indirect costs include salaries of indirect staff of \$1,580k.

Attachment G describes SACOG’s indirect costs included as part of the FY 2021-2022 Budget/OWP. These costs are recovered through an additional rate charge applied to any direct program staff costs billed to projects. Indirect costs include salaries and benefits of administrative and accounting staff, internal management activities performed by management, building rent and utilities, software, and other costs associated with SACOG’s overhead. Staff will submit the fringe and indirect rates for Caltrans’ approval by June 2021.

Attachment H is the Capital Assets Budget included in the FY 2021-2022 Budget/OWP.

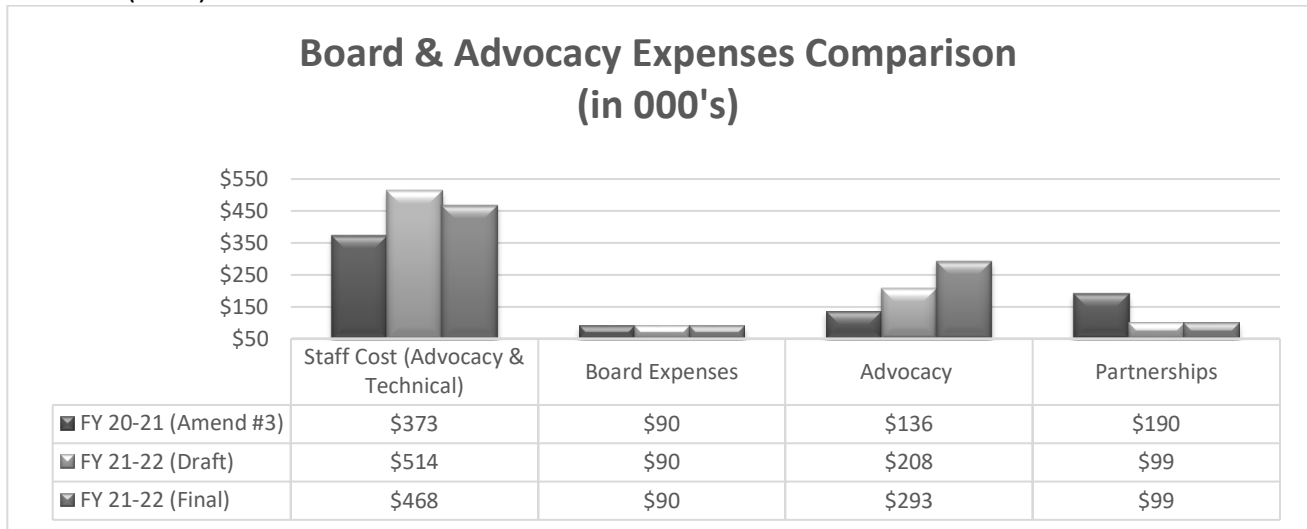
Board and Advocacy Budget

The Board of Directors and Advocacy Budget for FY 2021-2022 is \$949,941 an increase of \$161,324 or 20.5 percent, comparing to \$788,617 in FY 2020-2021 Board & Advocacy Budget, as amended. The increase is due the member dues increase approved by the Board in April 2021.

This budget is separate from the Budget/OWP because it funds activities cannot be funded with state and federal funds, including state and federal advocacy, board per diem and other expenses. It also funds partnerships and technical assistance to member jurisdictions beyond what is possible in the main budget

either due to eligibility or budget constraints. **Attachment I** is a summary of the Final FY 2021-2022 Board and Advocacy budget.

The chart below shows a comparison of expenses for FY 2020-2021 vs. FY 2021-2022 (draft) vs. FY 2021-2022 (Final).



Attachment K and L are separate resolutions approving the Operations Budget and the Board and Advocacy budget.

Fiscal Impact/Grant Information:

The SACOG Operations Budget/OWP for FY 2021-2022 totaling \$27,385,913. This includes \$23,061,967 in staff, consultant, capital, indirect, and pass-through expenditures, and \$4,323,946 in deferred costs that will be captured in a future fiscal year. These costs are covered by \$26,804,073 in revenues from federal, state, local sources, and use of \$55,857 restricted and \$525,983 unassigned fund balance.

The Board and Advocacy Budget for FY 2021-2022 includes \$949,941 in staff, board expenses, advocacy and partnerships costs. These costs are covered by \$899,941 in member dues and local sources and use of \$50,00 in Board and Advocacy reserve fund.

5. This staff report aligns with the following SACOG Work Plan Objectives:

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
SUMMARY OF REVENUE CHANGES BY FUNDING SOURCE
Draft Budget vs. Final Budget**

| Funding Source | FY2021-2022 DRAFT | FY2021-2022 FINAL | Change | % | Ref |
|--|----------------------|----------------------|-------------------|-------------|------|
| Federal Funding Sources | \$ 9,650,315 | \$ 10,121,168 | \$ 470,853 | 4.9% | |
| Federal Highway Administration (FHWA) Metropolitan Planning (PL) | 3,031,397 | 3,152,397 | 121,000 | 4.0% | 2 |
| Federal Transit Administration (FTA) Section 5303 | 1,150,554 | 1,200,554 | 50,000 | 4.3% | 2 |
| Federal Transit Administration (Section 5304) | 228,836 | 228,836 | - | 0.0% | |
| Federal Transit Administration (FTA) Section 5307 | 235,135 | 434,988 | 199,853 | 85.0% | 1, 2 |
| FHWA State Planning & Research Funds (SP&R) | 27,810 | 27,810 | - | 0.0% | |
| Federal Regional Surface Transportation Program (RSTP) | 1,242,643 | 1,242,643 | - | 0.0% | |
| Federal Congestion Mitigation and Air Quality (CMAQ) | 3,433,940 | 3,433,940 | - | 0.0% | |
| SECAT (CMAQ) | 300,000 | - | (300,000) | -100.0% | 2 |
| Federal Highway Infrastructure Programs - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (HIP-CRRSAA) | - | 400,000 | 400,000 | | 1 |
| State Funding Sources | 7,304,259 | 7,310,824 | 6,565 | 0.1% | |
| Planning, Programming, Monitoring | 727,000 | 727,000 | - | 0.0% | |
| SB1 Sustainable Communities (RMRA) | 874,991 | 874,991 | - | 0.0% | |
| High Occupancy Vehicle Fines | 30,000 | - | (30,000) | -100.0% | 2 |
| Regional Early Action Planning Funds (REAP) | 4,895,443 | 4,895,443 | - | 0.0% | |
| State Highway Account (SHA) | - | - | - | | |
| State Highway Account (SHA) - Sustainable Communities | 62,540 | 62,540 | - | 0.0% | |
| Placer County Transportation Planning Agency (PCTPA) RPA | 356,189 | 392,754 | 36,565 | 10.3% | 1 |
| Department of Conservation | 171,900 | 171,900 | - | 100.0% | |
| Transit and Interagency Capital Program (TIRCP) | 186,196 | 186,196 | - | 100.0% | |
| Local Funding Sources | 5,258,374 | 5,258,154 | (221) | 0.0% | |
| Transportation Development Act - Planning & Administration | 4,375,226 | 4,375,226 | 0 | 0.0% | |
| Sacramento Metro Air Quality Management District (SMAQMD) | 90,838 | 90,838 | - | 0.0% | |
| El Dorado County Transportation Commission (EDCTC) LTF | 113,601 | 113,601 | - | 0.0% | |
| Other Local Revenues (grants, etc.) | 678,709 | 678,489 | (221) | 0.0% | 2 |
| Services to Others | 2,885,559 | 3,096,177 | 210,618 | 7.3% | |
| DMV Fees (SAFE) | 2,867,966 | 3,038,584 | 170,618 | 5.9% | 2 |
| Glenn County SAFE | 17,593 | 17,593 | - | 0.0% | |
| Sacramento County (ALUC) | - | 40,000 | 40,000 | | 2 |
| In-Kind Funds from Others | 5,250 | 5,250 | - | 0.0% | |
| In-Kind from Others | 5,250 | 5,250 | - | 0.0% | |
| Board of Directors and Advocacy | 910,617 | 949,941 | 39,324 | 4.3% | |
| Member Dues | 740,617 | 879,941 | 139,324 | 18.8% | 1 |
| Use of B&A Reserve Fund Balance | 150,000 | 50,000 | (100,000) | -66.7% | 4 |
| Interest Income | 20,000 | 20,000 | - | 0.0% | |
| Use of Fund Balance/other Local Activities | 1,594,424 | 1,594,340 | (84) | 0.0% | |
| Use of SACOG Managed Fund Committed to Projects | 900,000 | 900,000 | - | 0.0% | |
| Local TDA | 62,500 | 62,500 | - | 0.0% | |
| Use of SACOG Reserve Fund Balance | 581,924 | 581,840 | (84) | 0.0% | 4 |
| Use of SACOG Undesignated Fund Balance for Capital Equipment | 50,000 | 50,000 | - | 0.0% | |
| TOTAL OWP REVENUES | \$ 27,608,798 | \$ 28,335,854 | \$ 727,055 | 2.6% | |

Reference #:

- 1 - New funding sources/revised estimates.
- 2 - Estimated carryover.
- 3 - Projects to be completed in FY 2020-21.
- 4 - Use of reserve fund balance of \$525,983.00 and B&A reserve fund balance \$50,000 to cover budget shortfall, and Paratransit Reserve \$55,857.

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
SUMMARY OF REVENUE CHANGES BY FUNDING SOURCE
FY 2020-2021 vs. FY 2021-2022**

| Funding Source | FY2020-2021 Amendment #3 | FY2021-2022 FINAL | Change | % | Ref |
|--|-----------------------------|----------------------|-----------------------|---------------|------|
| Federal Funding Sources | \$ 11,927,709 | \$ 10,121,168 | \$ (1,806,541) | -15.1% | |
| Federal Highway Administration (FHWA) Metropolitan Planning (PL) | 2,950,155 | 3,152,397 | 202,242 | 6.9% | 1 |
| Federal Transit Administration (FTA) Section 5303 | 1,040,273 | 1,200,554 | 160,281 | 15.4% | 1 |
| Federal Transit Administration (Section 5304) | 265,590 | 228,836 | (36,754) | -13.8% | 1 |
| Federal Transit Administration (FTA) Section 5307 | 905,134 | 434,988 | (470,146) | -51.9% | 3 |
| FHWA State Planning & Research Funds (SP&R) | 279,055 | 27,810 | (251,245) | -90.0% | 2 |
| Federal Regional Surface Transportation Program (RSTP) | 1,661,736 | 1,242,643 | (419,093) | -25.2% | 2 |
| Federal Congestion Mitigation and Air Quality (CMAQ) | 4,825,766 | 3,433,940 | (1,391,826) | -28.8% | 2 |
| Federal Highway Infrastructure Programs - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (HIP-CRRSAA) | - | 400,000 | 400,000 | 100.0% | 1 |
| State Funding Sources | 11,154,939 | 7,310,824 | (3,844,115) | -34.5% | |
| Planning, Programming, Monitoring | 758,446 | 727,000 | (31,446) | -4.1% | 2 |
| SB1 Sustainable Communities (RMRA) | 1,172,376 | 874,991 | (297,385) | -25.4% | 1, 2 |
| High Occupancy Vehicle Fines | 30,000 | - | (30,000) | -100.0% | 2 |
| Regional Early Action Planning Funds (REAP) | 6,625,998 | 4,895,443 | (1,730,555) | -26.1% | 2 |
| State Highway Account (SHA) | 283,002 | - | (283,002) | -100.0% | 3 |
| State Highway Account (SHA) - Sustainable Communities | 137,277 | 62,540 | (74,737) | -54.4% | 2 |
| Placer County Transportation Planning Agency (CTPA) RPA | 392,754 | 392,754 | 0 | 0.0% | |
| Low Carbon Transit Operations Program (LCTOP) | 55,389 | - | (55,389) | -100.0% | 3 |
| Public Transportation Modernization, Improvement & Service Enhancement Account (PTMISEA) | 1,239,698 | - | (1,239,698) | -100.0% | 3 |
| Department of Conservation | 250,000 | 171,900 | (78,100) | -31.2% | 2 |
| Transit and Interagency Capital Program (TIRCP) | 210,000 | 186,196 | (23,804) | -11.3% | 2 |
| Local Funding Sources | 4,646,913 | 5,258,154 | 611,241 | 13.2% | |
| Transportation Development Act - Planning & Administration | 3,999,945 | 4,375,226 | 375,281 | 9.4% | 1, 2 |
| Sacramento Metro Air Quality Management District (SMAQMD) | 264,838 | 90,838 | (174,000) | -65.7% | 2 |
| El Dorado County Transportation Commission (EDCTC) LTF | 86,887 | 113,601 | 26,714 | 30.7% | 1 |
| Other Local Revenues (grants, etc.) | 295,243 | 678,489 | 383,246 | 129.8% | 1 |
| Services to Others | 3,185,861 | 3,096,177 | (89,684) | -2.8% | |
| DMV Fees (SAFE) | 2,918,455 | 3,038,584 | 120,129 | 4.1% | 1 |
| Glenn County SAFE | 84,657 | 17,593 | (67,064) | -79.2% | 1 |
| Sacramento County (ALUC) | 182,749 | 40,000 | (142,749) | -78.1% | 2 |
| In-Kind Funds from Others | 21,000 | 5,250 | (15,750) | -75.0% | |
| In-Kind from Others | 21,000 | 5,250 | (15,750) | -75.0% | 2 |
| Board of Directors and Advocacy | 788,617 | 949,941 | 161,324 | 20.5% | |
| Member Dues | 740,617 | 879,941 | 139,324 | 18.8% | 1 |
| Use of B&A Reserve Fund Balance | 28,000 | 50,000 | 22,000 | 78.6% | 4 |
| Interest Income | 20,000 | 20,000 | - | 0.0% | |
| Use of Fund Balance/other Local Activities | 1,920,252 | 1,594,340 | (325,912) | -17.0% | |
| Use of SACOG Managed Fund Committed to Projects | 1,000,000 | 900,000 | (100,000) | -10.0% | 2 |
| Local TDA | 62,500 | 62,500 | - | 0.0% | |
| Use of SACOG Reserve Fund Balance | 767,752 | 581,840 | (185,912) | -24.2% | 4 |
| Use of SACOG Undesignated Fund Balance for Capital Equipment | 90,000 | 50,000 | (40,000) | -44.4% | 2 |
| TOTAL OWP REVENUES | \$ 33,645,290 | \$ 28,335,854 | \$ (5,309,437) | -15.8% | |

Reference #:

- 1 - New funding sources/revised estimates.
- 2 - Estimated carryover.
- 3 - Projects to be completed in FY 2020-21.
- 4 - Use of reserve fund balance of \$525,983.00 to cover budget shortfall and Paratransit Reserve \$55,857.

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
SUMMARY OF REVENUES AND EXPENDITURES**

REVENUES:**Overall Work Program:**

| | |
|---|-------------------|
| Federal | \$ 10,121,168 |
| State | 7,310,824 |
| Local (Includes use of TDA Reserve Fund) | 5,258,154 |
| Services to Others | 3,096,177 |
| In-Kind & Matching Funds from Others | 5,250 |
| Use of SACOG Managed Fund Committed to Projects | 900,000 |
| Use of SACOG Reserve Fund Balance ¹ | 581,840 |
| Subtotal - OWP Revenues | 27,273,413 |

Board of Directors and Advocacy

| | |
|---|----------------|
| Member Dues | 879,941 |
| Use of B&A Reserve Fund Balance | 50,000 |
| Interest Income | 20,000 |
| Subtotal - Board and Advocacy Revenues | 949,941 |

Local Activities

| | |
|---|---------------|
| Local (TDA) | 62,500 |
| Subtotal - Local Activities Revenues | 62,500 |

Capital Assets

| | |
|--|---------------|
| Capital Equipment Reserve | 50,000 |
| Subtotal - Capital Asset Revenues | 50,000 |

| | |
|-----------------------|-------------------|
| TOTAL REVENUES | 28,335,854 |
|-----------------------|-------------------|

EXPENDITURES:²**Overall Work Program:**

| | |
|---|-------------------|
| Direct Labor | 3,750,984 |
| Fringe Costs | 5,212,014 |
| Direct Consulting Costs | 3,227,283 |
| Direct Pass - through to Other Agencies | 4,615,891 |
| Direct Pass - through SACOG Managed Fund Project Expenditures | 900,000 |
| Direct Other Costs (Printing, meetings, etc) | 1,753,379 |
| Indirect Costs (allocated amount) | 3,489,915 |
| Indirect Costs distributed carry forward from FY 2019-20 | (261,215) |
| Total OWP Expenditures | 22,688,252 |

Board of Directors and Advocacy Costs

| | |
|--|----------------|
| Direct Labor | 140,541 |
| Fringe Costs | 197,011 |
| Indirect Costs | 130,760 |
| Other (Non-Staff Costs) | 481,629 |
| Total Board of Directors and Advocacy Costs | 949,941 |

| | |
|--------------------------|---------------|
| Other Local Costs | 62,500 |
|--------------------------|---------------|

| | |
|----------------------------|---------------|
| Capital Asset Costs | 50,000 |
|----------------------------|---------------|

| | |
|--|-------------------|
| TOTAL FY 2020-21 EXPENDITURE BUDGET | 23,750,693 |
|--|-------------------|

| | |
|-----------------------------------|------------------|
| Deferred Costs³ | 4,323,946 |
|-----------------------------------|------------------|

| | |
|---------------------------|----------------------|
| TOTAL EXPENDITURES | \$ 28,074,638 |
|---------------------------|----------------------|

| | |
|--|-------------------|
| Subtotal - Total Revenues Less Total Expenditures | \$ 261,215 |
|--|-------------------|

¹ Includes use of reserve fund balance of \$525,983.00 to cover budget shortfall and Paratransit Reserve \$55,857.

² Some costs will carryforward into future years. Future costs are offset by revenues.

³ Accounts for grant/partnership funding available in the current fiscal year, but expenditures are not expected until a future year. This includes: Transportation Demand Management \$553,344, Regional Bike Share Pilot Project \$111,372, Connect Card Operations \$30,407, and Regional Early Action Program (REAP) \$3,428,823, Transit Assit Management Plan \$100,000, and Remix Project \$100,000.

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
SUMMARY OF OVERALL WORK PROGRAM (OWP) REVENUE SOURCES**

| | | Percentage of Total |
|---|----------------------|--------------------------------|
| Federal Funding: | \$ 10,121,168 | 37% |
| Federal Highway Administration (FHWA) Metropolitan Planning (PL) | \$3,152,397 | |
| Federal Transit Administration (FTA) Section 5303 | 1,200,554 | |
| Federal Transit Administration (Section 5304) | 228,836 | |
| Federal Transit Administration (Section 5307) | 434,988 | |
| FHWA State Planning & Research Funds (SP&R) | 27,810 | |
| Federal Congestion Mitigation and Air Quality (CMAQ) | 3,433,940 | |
| Federal Regional Surface Transportation Program (RSTP) | 1,242,643 | |
| Federal Highway Infrastructure Programs - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (HIP-CRRSAA) | \$400,000 | |
| State of California Funding: | 7,310,824 | 27% |
| State Planning, Programming, Monitoring | 727,000 | |
| SB1 Sustainable Communities (RMRA) | 874,991 | |
| Regional Early Action Planning Funds | 4,895,443 | |
| State Highway Account Grant (SHA) - Sustainable Communities | 62,540 | |
| Placer County Transportation Planning Agency (PCTPA) RPA | 392,754 | |
| Department of Conservation | 171,900 | |
| State Transit and Intercity Rail Capital Program (TIRCP) | 186,196 | |
| Local Funds: | 5,258,154 | 19% |
| Transportation Development Act - Planning & Administration | 4,375,226 | |
| Sacramento Metro Air Quality Management District (SMAQMD) | 90,838 | |
| El Dorado County Transportation Commission (EDCTC) LTF | 113,601 | |
| Other Local Revenues (grants, etc.) | 678,489 | |
| Services to Others: | 3,096,177 | 11% |
| DMV Fees | 3,038,584 | |
| Glenn County SAFE | 17,593 | |
| Sacramento County (ALUC) | 40,000 | |
| In-Kind Funds from Others: | 5,250 | 0% |
| Remaining in-kind | 5,250 | |
| Total Current Year Funds | 25,791,573 | 95% |
| Use of SACOG Managed Fund Committed to Projects | 900,000 | 3% |
| Use of SACOG Reserve Fund Balance | 581,840 | 2% |
| Total Use of Fund Balance | 1,481,840 | 5% |
| Total OWP Revenues | \$ 27,273,413 | 100% |

| Project Code | Project Name | Toll Credits ^{1,2} | FHWA PL | FHWA PL Carryover | FTA 5303 | FTA 5303 Carryover | FTA 5304 | FTA 5307 | FHWA State Planning & Research Funds (SP&R) Carryover | SB1 Sustainable Communities (RMRA) | SB1 Sustainable Communities (RMRA) Carryover | State Highway Account (SHA) - SC Carryover | Other Revenues ³ | Total Revenues | |
|--|---|-----------------------------|---------------------|-------------------|---------------------|--------------------|-------------------|-------------------|---|------------------------------------|--|--|-----------------------------|----------------|----------------------|
| SAC100 | PROGRAM MANAGEMENT | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 944,559 | c, m | \$ 944,559 |
| SAC101 | EDUCATION AND OUTREACH | 62,038 | 540,869 | - | - | - | - | - | - | - | - | - | - | - | 540,869 |
| SAC102 | EDUCATION AND OUTREACH (LOCAL) | - | - | - | - | - | - | - | - | - | - | - | 107,189 | c, i | 107,189 |
| SAC104 | SACOG CIVIC LAB IMPLEMENTATION | - | - | - | - | - | - | - | - | - | - | - | 287,134 | c | 287,134 |
| SAC105 | OVERALL WORK PROGRAM | 2,973 | 25,921 | - | - | - | - | - | - | - | - | - | - | - | 25,921 |
| SAC106 | LEGISLATIVE ANALYSIS | 49,638 | - | - | 382,761 | 50,000 | - | - | - | - | - | - | 35,000 | c | 467,761 |
| SAC107 | MODEL DEVELOPMENT AND SUPPORT | 83,342 | 726,611 | - | - | - | - | - | - | - | - | - | 183,601 | c, g | 910,213 |
| SAC108 | MODEL DEVELOPMENT AND SUPPORT - PCTPA | - | - | - | - | - | - | - | - | - | - | - | 147,737 | j | 147,737 |
| SAC109 | PEDESTRIAN AND BICYCLE PLANNING | 13,338 | 116,285 | - | - | - | - | - | - | - | - | - | - | - | 116,285 |
| SAC110 | ROAD, HIGHWAY, BRIDGE MAJOR INVESTMENT STUDIES | 4,506 | 39,282 | - | - | - | - | - | - | - | - | - | - | - | 39,282 |
| SAC113 | REGIONAL AIR QUALITY (AND CLIMATE) PLANNING | 40,463 | - | - | 352,774 | - | - | - | - | - | - | - | - | - | 352,774 |
| SAC114 | PROGRAMMING, PROJECT DELIVERY, AND THE MTP | 27,831 | - | - | - | - | - | - | - | - | - | - | 969,643 | b, k | 969,643 |
| SAC116 | TRANSIT TECHNICAL ASSISTANCE AND PROGRAMMING | - | - | - | - | - | - | - | - | - | - | - | 443,212 | c | 443,212 |
| SAC117 | TRANSIT ASSET MANAGEMENT PLAN | - | - | - | - | - | 200,000 | - | - | - | - | - | 24,736 | c | 224,736 |
| SAC118 | DATA DEVELOPMENT, MONITORING, AND SUPPORT | 171,729 | 1,376,200 | 121,000 | - | - | - | - | - | - | - | - | 25,000 | c | 1,522,200 |
| SAC119 | DATA DEVELOPMENT, MONITORING, AND SUPPORT - PCTPA | - | - | - | - | - | - | - | - | - | - | - | 141,779 | j | 141,779 |
| SAC120 | REGIONAL HOUSING NEEDS PLANNING | - | - | - | - | - | - | - | - | 245,000 | - | - | 31,742 | c | 276,742 |
| SAC122 | AIRPORT LAND USE COMMISSION - GENERAL | - | - | - | - | - | - | - | - | - | - | - | 24,706 | c | 24,706 |
| SAC123 | ALUCP/MATHER AIRPORT | - | - | - | - | - | - | - | - | - | - | - | 40,000 | i | 40,000 |
| SAC125 | BLUEPRINT AND MTP/SCS IMPLEMENTATION | - | - | - | - | - | - | - | - | - | - | - | 553,112 | c, q | 553,112 |
| SAC126 | MTP/SCS UPDATE | 23,655 | 206,229 | - | - | - | - | - | - | 529,991 | - | - | 69,234 | c | 805,454 |
| SAC127 | MTP/SCS UPDATE - PCTPA | - | - | - | - | - | - | - | - | - | - | - | 65,615 | j | 65,615 |
| SAC129 | PERFORMANCE-BASED PLANNING AND PROGRAMMING | 47,603 | - | - | 415,018 | - | - | - | - | - | - | - | - | - | 415,018 |
| SAC130 | PERFORMANCE-BASED PLANNING AND PROGRAMMING -PCTPA | - | - | - | - | - | - | - | - | - | - | - | 37,623 | j | 37,623 |
| SAC132 | TRANSPORTATION DEVELOPMENT ACT ADMINISTRATION | - | - | - | - | - | - | - | - | - | - | - | 1,275,927 | c | 1,275,927 |
| SAC133 | TRANSPORTATION DEMAND MANAGEMENT | 412,702 | - | - | - | - | - | - | - | - | - | - | 3,992,467 | a, b, r | 3,992,467 |
| SAC135 | SHARED SERVICES | - | - | - | - | - | - | - | - | - | - | - | 326,385 | c, i | 326,385 |
| SAC139 | RACE, EQUITY & INCLUSION INITIATIVE | - | - | - | - | - | - | - | - | - | - | - | 823,133 | c, m | 823,133 |
| Total - Core and Long-Range | | 939,817 | 3,031,397 | 121,000 | 1,150,554 | 50,000 | - | 200,000 | - | 774,991 | - | - | 10,549,534 | | 15,877,476 |
| SAC201 | SACOG BIG DATA FOR TP PILOT PROJECT | - | - | - | - | - | - | - | - | 156,493 | - | - | 156,493 | n | 156,493 |
| SAC205 | TIP FOR ECONOMIC PROSPERITY IN YUBA-SUTTER REGION | - | - | - | - | - | - | - | 27,810 | - | - | - | 16,484 | c, h | 44,294 |
| SAC207 | REGIONAL TRANSIT OPTIMIZATION AND PRIORITIZATION PLAN | - | - | - | - | - | - | - | - | - | - | - | 29,770 | c | 29,770 |
| SAC208 | REMIX PROJECT | - | - | - | - | - | - | 200,000 | - | - | - | - | 12,634 | c | 212,634 |
| SAC209 | SAC REGION PARKS AND TRAILS STRATEGIC DEV PLAN | - | - | - | - | - | - | - | - | - | - | 62,540 | 162,596 | c | 225,136 |
| SAC221 | BUILD-OUT INVENTORY DATA COLLECTION | - | - | - | - | - | - | - | - | - | 100,000 | - | 27,801 | c | 127,801 |
| SAC222 | SACRAMENTO REGIONAL EMERGENCY PREPAREDNESS PLAN | - | - | - | - | - | 228,836 | - | - | - | - | - | 52,544 | c | 281,380 |
| SAC223 | COORDINATED RURAL OPPORTUNITIES PLAN (CROP) GRANT | - | - | - | - | - | - | - | - | - | - | - | 201,461 | f | 201,461 |
| SAC224 | DOWNTOWN TRANSIT SACRAMENTO SERVICE INTEGRATION | - | - | - | - | - | - | - | - | - | - | - | 186,196 | p | 186,196 |
| Total - Discretionary and Partnership | | - | - | - | - | - | 228,836 | 200,000 | 27,810 | - | 100,000 | 62,540 | 845,978 | | 1,485,134 |
| SAC213 | REGIONAL BIKE SHARE PILOT PROJECT | 95,871 | - | - | - | - | - | - | - | - | - | - | 926,677 | a, d | 926,677 |
| SAC214 | DOWNTOWN RIVERFRONT STREETCAR PROJECT | - | - | - | - | - | - | - | - | - | - | - | 10,000 | i | 10,000 |
| SAC215 | CONNECT CARD IMPLEMENTATION | - | - | - | - | - | - | 34,988 | - | - | - | - | - | - | 34,988 |
| SAC216 | CONNECT CARD OPERATIONS | - | - | - | - | - | - | - | - | - | - | - | 50,000 | e | 50,000 |
| SAC217 | REGIONAL EARLY ACTION PLANNING FUNDS (REAP) | - | - | - | - | - | - | - | - | - | - | - | 4,895,443 | l | 4,895,443 |
| SAC220 | PARATRANSIT AND SACRT 4-PARTY AGREEMENT | - | - | - | - | - | - | - | - | - | - | - | 55,857 | m | 55,857 |
| SAC225 | 2022 IMAGERY COLLECTION | - | - | - | - | - | - | - | - | - | - | - | 552,489 | i | 552,489 |
| SAC400 | SACOG MANAGED FUND PROJECTS | - | - | - | - | - | - | - | - | - | - | - | 900,000 | o | 900,000 |
| Total - Pass Through | | - | - | - | - | - | - | - | - | - | - | - | 6,403,789 | | 6,403,789 |
| SAC500 | SACOG SERVICE TO SAFE | - | - | - | - | - | - | - | - | - | - | - | 324,720 | n | 324,720 |
| SAC501 | SACOG SERVICE TO ITS PLANNING AND OPS | - | - | - | - | - | - | - | - | - | - | - | 69,395 | n | 69,395 |
| SAC502 | SACOG SERVICE TO 511/STARNET OPS | - | - | - | - | - | - | - | - | - | - | - | 122,722 | n | 122,722 |
| SAF100 | SERVICE AUTHORITY FOR FREEWAYS AND EXPRESSWAYS | - | - | - | - | - | - | - | - | - | - | - | 1,485,888 | n | 1,485,888 |
| SAF200 | GLENN COUNTY SAFE | - | - | - | - | - | - | - | - | - | - | - | 17,593 | n | 17,593 |
| SAF400 | 511/STARNET OPERATIONS | - | - | - | - | - | - | - | - | - | - | - | 485,000 | n | 485,000 |
| Total - Services to Other | | - | - | - | - | - | - | - | - | - | - | - | 2,505,318 | | 2,505,318 |
| TOTAL ALL ELEMENTS | | \$ 1,035,688 | \$ 3,031,397 | \$ 121,000 | \$ 1,150,554 | \$ 50,000 | \$ 228,836 | \$ 434,988 | \$ 27,810 | \$ 774,991 | \$ 100,000 | \$ 62,540 | \$ 21,291,297 | | \$ 27,273,413 |

NOTES:

1 - Toll credits provided by the State of California are being utilized as a match for federal FHWA PL and FTA 5303 funds. The FHWA PL and FTA 5303 amounts shown in the Budget Revenue Summary Sheet represent 100% of the total federal participation cost, therefore toll credits are not included in the total revenue amount.

2 - Total toll credits includes as match for CMAQ \$508,573 & \$27,831 RSTP.

3 - Other Revenues: a. Federal CMAQ | b. Federal RSTP | c. Local TDA | d. AQMD | e. CONSORTIUM | f. DEPT OF CONSERVATION | g. EDCTC | h. IN-KIND | i. OTHER LOCAL | j. PCTPA | k. PPM | l. REAP | m. RESERVE | n. SAFE | o. SMF | p. TIRCP | q. CRRSAA

| Project Code | Project Name | Total Expenditures | Salaries & Benefits | Indirect Services | Consultant | Pass-Through | Other |
|--|---|----------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| SAC100 | PROGRAM MANAGEMENT | \$ 944,859 | \$ 644,785 | \$ 249,774 | \$ - | \$ - | \$ 50,000 |
| SAC101 | EDUCATION AND OUTREACH | 540,869 | 379,615 | 147,054 | 11,600 | - | 2,600 |
| SAC102 | EDUCATION AND OUTREACH (LOCAL) | 107,189 | 70,052 | 27,137 | - | - | 10,000 |
| SAC104 | SACOG CIVIC LAB IMPLEMENTATION | 287,134 | 206,962 | 80,172 | - | - | - |
| SAC105 | OVERALL WORK PROGRAM | 25,921 | 18,683 | 7,237 | - | - | - |
| SAC106 | LEGISLATIVE ANALYSIS | 467,761 | 302,226 | 124,665 | 35,000 | - | 5,870 |
| SAC107 | MODEL DEVELOPMENT AND SUPPORT | 910,213 | 517,639 | 202,573 | 140,000 | - | 50,000 |
| SAC108 | MODEL DEVELOPMENT AND SUPPORT - PCTPA | 147,737 | 106,486 | 41,250 | - | - | - |
| SAC109 | PEDESTRIAN AND BICYCLE PLANNING | 116,285 | 83,816 | 32,468 | - | - | - |
| SAC110 | ROAD, HIGHWAY, BRIDGE MAJOR INVESTMENT STUDIES | 39,282 | 28,314 | 10,968 | - | - | - |
| SAC113 | REGIONAL AIR QUALITY (AND CLIMATE) PLANNING | 352,774 | 251,391 | 97,383 | - | - | 4,000 |
| SAC114 | PROGRAMMING, PROJECT DELIVERY, AND THE MTIP | 969,643 | 628,238 | 243,365 | - | - | 98,040 |
| SAC116 | TRANSIT TECHNICAL ASSISTANCE AND PROGRAMMING | 443,212 | 319,461 | 123,751 | - | - | - |
| SAC117 | TRANSIT ASSET MANAGEMENT PLAN | 124,736 | 49,803 | 19,293 | - | - | 55,640 |
| SAC118 | DATA DEVELOPMENT, MONITORING, AND SUPPORT | 1,522,200 | 1,018,253 | 394,447 | 25,000 | - | 84,500 |
| SAC119 | DATA DEVELOPMENT, MONITORING, AND SUPPORT - PCTPA | 141,779 | 102,192 | 39,587 | - | - | - |
| SAC120 | REGIONAL HOUSING NEEDS PLANNING | 276,742 | 199,472 | 77,271 | - | - | - |
| SAC122 | AIRPORT LAND USE COMMISSION - GENERAL | 24,706 | 14,203 | 5,502 | 5,000 | - | - |
| SAC123 | ALUCP/MATHER AIRPORT | 40,000 | - | - | 40,000 | - | - |
| SAC125 | BLUEPRINT AND MTP/SCS IMPLEMENTATION | 553,112 | 398,675 | 154,437 | - | - | - |
| SAC126 | MTP/SCS UPDATE | 805,454 | 508,481 | 196,973 | 10,000 | - | 90,000 |
| SAC127 | MTP/SCS UPDATE - PCTPA | 65,615 | 47,295 | 18,321 | - | - | - |
| SAC129 | PERFORMANCE-BASED PLANNING AND PROGRAMMING | 415,018 | 165,794 | 64,225 | 185,000 | - | - |
| SAC130 | PERFORMANCE-BASED PLANNING AND PROGRAMMING -PCTPA | 37,623 | 27,118 | 10,505 | - | - | - |
| SAC132 | TRANSPORTATION DEVELOPMENT ACT ADMINISTRATION | 1,275,927 | 254,498 | 98,586 | 555,553 | - | 367,290 |
| SAC133 | TRANSPORTATION DEMAND MANAGEMENT | 3,439,123 | 754,847 | 292,410 | 465,000 | 1,894,366 | 32,500 |
| SAC135 | SHARED SERVICES | 326,385 | 125,608 | 48,657 | - | - | 152,120 |
| SAC139 | RACE, EQUITY & INCLUSION INITIATIVE | 823,133 | 533,837 | 206,796 | 80,000 | - | 2,500 |
| Total - Core and Long-Range | | 15,224,133 | 7,757,746 | 3,014,808 | 1,552,153 | 1,894,366 | 1,005,060 |
| SAC201 | SACOG BIG DATA FOR TP PILOT PROJECT | 156,493 | 112,798 | 43,695 | - | - | - |
| SAC205 | TIP FOR ECONOMIC PROSPERITY IN YUBA-SUTTER REGION | 44,294 | 10,122 | 3,921 | 25,000 | - | 5,250 |
| SAC207 | REGIONAL TRANSIT OPTIMIZATION AND PRIORITIZATION PLAN | 29,770 | 21,458 | 8,312 | - | - | - |
| SAC208 | REMIX PROJECT | 112,634 | 23,522 | 9,112 | - | - | 80,000 |
| SAC209 | SAC REGION PARKS AND TRAILS STRATEGIC DEV PLAN | 225,136 | 162,275 | 62,861 | - | - | - |
| SAC221 | BUILD-OUT INVENTORY DATA COLLECTION | 127,801 | 20,038 | 7,762 | 100,000 | - | - |
| SAC222 | SACRAMENTO REGIONAL EMERGENCY PREPAREDNESS PLAN | 281,380 | 37,034 | 14,346 | 230,000 | - | - |
| SAC223 | COORDINATED RURAL OPPORTUNITIES PLAN (CROP) GRANT | 201,461 | 137,267 | 53,174 | 11,020 | - | - |
| SAC224 | DOWNTOWN TRANSIT SACRAMENTO SERVICE INTEGRATION | 186,196 | 80,790 | 31,296 | 74,110 | - | - |
| Total - Discretionary and Partnership | | 1,365,164 | 605,304 | 234,480 | 440,130 | - | 85,250 |
| SAC213 | REGIONAL BIKE SHARE PILOT PROJECT | 815,304 | 118,905 | 46,061 | 175,000 | 338,000 | 137,338 |
| SAC214 | DOWNTOWN RIVERFRONT STREETCAR PROJECT | 10,000 | - | - | - | - | 10,000 |
| SAC215 | CONNECT CARD IMPLEMENTATION | 34,988 | 25,219 | 9,769 | - | - | - |
| SAC216 | CONNECT CARD OPERATIONS | 19,593 | 14,123 | 5,471 | - | - | - |
| Total - Regional Projects | | 879,886 | 158,247 | 61,301 | 175,000 | 338,000 | 147,338 |
| SAC217 | REGIONAL EARLY ACTION PLANNING FUNDS (REAP) | 1,466,620 | 22,791 | 8,829 | 75,000 | 1,350,000 | 10,000 |
| SAC220 | PARATRANSIT AND SACRT 4-PARTY AGREEMENT | 55,857 | 14,475 | 5,607 | - | 35,775 | - |
| SAC225 | 2022 IMAGERY COLLECTION | 552,489 | 37,833 | 14,656 | 500,000 | - | - |
| SAC400 | SACOG MANAGED FUND PROJECTS | 900,000 | - | - | - | 900,000 | - |
| Total - Pass Through | | 2,974,966 | 75,099 | 29,092 | 575,000 | 2,285,775 | 10,000 |
| SAC500 | SACOG SERVICE TO SAFE | 324,720 | 234,054 | 90,667 | - | - | - |
| SAC501 | SACOG SERVICE TO ITS PLANNING AND OPS | 69,395 | 50,019 | 19,376 | - | - | - |
| SAC502 | SACOG SERVICE TO 511/STARNET OPS | 122,722 | 82,530 | 40,192 | - | - | - |
| SAF100 | SERVICE AUTHORITY FOR FREEWAYS AND EXPRESSWAYS | 1,485,888 | - | - | - | 997,750 | 488,138 |
| SAF200 | GLENN COUNTY SAFE | 17,593 | - | - | - | - | 17,593 |
| SAF400 | 511/STARNET OPERATIONS | 485,000 | - | - | 485,000 | - | - |
| Total - Services to Other | | 2,505,318 | 366,602 | 150,235 | 485,000 | 997,750 | 505,731 |
| TOTAL ALL WORK ELEMENTS | | \$ 22,949,467 | \$ 8,962,998 | \$ 3,489,915 | \$ 3,227,283 | \$ 5,515,891 | \$ 1,753,379 |

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
INDIRECT COSTS**

| | | |
|--|----|-------------------------|
| Total Direct Salaries from OWP (includes BOA) | \$ | 3,891,525 |
| Total Indirect Expenditures | | 3,359,439 |
| Carry Forward (+/-) from FY 2019-20 | | 261,215 |
| Adjusted Indirect Total Costs¹ | | <u>3,620,654</u> |
| INDIRECT RATE - FY 2021-22 | | |
| (Total Adjusted Indirect Costs ÷ Total Direct Salaries from OWP) | | 93.04% |

EXPENDITURES:

| | | <u>Amount</u> |
|--------------------------------------|-----------|-------------------------|
| Building Cost | | 726,200 |
| Career Development Program | | 75,000 |
| Computer Software & Maint | | 275,422 |
| Consultant | | 150,000 |
| Depreciation | | 100,000 |
| Insurance | | 105,000 |
| Legal Service | | 180,000 |
| Meeting and Membership | | 40,000 |
| Office and Equipment | | 78,000 |
| Other | | 39,500 |
| Staff Training | | 10,000 |
| Indirect Staffing (Base Salary only) | | 1,580,317 |
| TOTAL FOR FY 2021-22 | \$ | <u>3,359,439</u> |

¹This dollar amount includes the \$261,215 carryover number from the cumulative FY 2019-20 Indirect cost calculation, per Caltrans ICAP audit procedures.

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
CAPITAL ASSET EXPENDITURE BUDGET**

REVENUE

| | | |
|---------------------------|----|---------------|
| Capital Equipment Reserve | \$ | 50,000 |
| Total Revenue | | 50,000 |

EXPENDITURES

| | | |
|---------------------------|-----------|---------------|
| Equipment | | 50,000 |
| Total Expenditures | \$ | 50,000 |

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
BOARD OF DIRECTORS AND ADVOCACY BUDGET**

REVENUE:

| | |
|--|---------------|
| Membership Dues | \$ 879,941 |
| Board and Advocacy reserve funds | 50,000 |
| Other Funds (est. interest on general account) | <u>20,000</u> |

TOTAL REVENUES:

949,941

EXPENDITURES:

| | |
|--|----------------|
| Advocacy - State Lobbyist | 120,900 |
| Advocacy - Federal Lobbyist | 95,000 |
| Advocacy - Other (Legal, Printing, etc.) | 14,729 |
| Advocacy - Meetings (cap to cap, asian chamber, advocacy meetings) | 30,000 |
| Advocacy - Membership (T4, CALCOG, Chamber, NARC) | 32,000 |
| Partnerships - Meetings | 20,000 |
| Partnerships - Regional Futures Forum | 30,000 |
| Partnerships - Peer Region | 20,000 |
| Partnerships - Room Reservations or Meeting software | 3,000 |
| Partnerships - Consultants | 25,000 |
| Partnerships - Team Materials / hard costs | 1,000 |
| Board - Board Reimbursement | 60,000 |
| Board - Meetings | 30,000 |
| Labor, Benefits, and Overhead - Advocacy | 76,756 |
| Labor, Benefits, and Overhead - Technical Assistance | <u>391,556</u> |

TOTAL EXPENDITURES

\$ 949,941

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL**

COMMENTS AND RESPONSES

| COMMENTS | SACOG RESPONSES |
|---|---|
| GENERAL COMMENTS | |
| <ul style="list-style-type: none"> We commend SACOG for developing a detailed and concise OWP and for their extensive coordination efforts with local and regional partners. | Thank you for reviewing and commenting of the draft Overall Work Program. |
| <ul style="list-style-type: none"> Caltrans commends SACOG for meeting all requirements for SB 1 Sustainable Communities Formula grant funds. We look forward to the results of SACOG's important planning work that assists in achieving State goals and priorities. | Thank you for reviewing and commenting of the draft Overall Work Program. |
| <ul style="list-style-type: none"> In the OWP Prospectus under the "Public Involvement" section, SACOG should consider including any impacts to public outreach and involvement due to the COVID-19 pandemic. Has there been a decline in public participation or has SACOG seen an increase? What steps did SACOG take in ensuring the public played an active role in the transportation planning process while in the midst of the pandemic? | The Public Involvement section has been updated to include any impacts to public outreach and involvement due to the COVID-19 pandemic. |
| <ul style="list-style-type: none"> Please note that there are currently no official Planning Emphasis Areas (PEAs) for this fiscal year. FHWA is anticipating the release of new PEAs for FY 21-22. Once released, Caltrans will provide guidance and SACOG will need to update the OWP to incorporate these PEAs. | Noted. |
| <ul style="list-style-type: none"> OWPs must identify the organization responsible for carrying out the tasks within each work element and it must be consistent throughout the OWP, per FHWA's Regional Planning Program Review recommendations. | Please see comment below: |
| <ul style="list-style-type: none"> To better align with FHWA's recommendations, SACOG must clearly identify the tasks/activities that are performed by outside agencies, i.e. consultants, cities, nonprofits for each work element. <ul style="list-style-type: none"> In many work element descriptions, SACOG includes discussion of vendors, consultants, and acquisition of services or supplies; however, these costs are not identified in the work element funding table nor are they identified in the overall budget summary table. SACOG includes an "Other" expenses category, but it is not possible to determine what costs are included in "Other." SACOG should clearly identify what the "Other" expenditures are, and clearly identify if these expenditures are for outside agencies/consultants. | The individual work element table as well as the budget summary table have been updated to clearly identify the consultant and pass-through categories. |
| <ul style="list-style-type: none"> In the overall budget revenue spreadsheet on page 26 of the PDF, SACOG identifies an "Other Revenues" column for which the specific funding sources are noted at the bottom of the table; however, a reader should be able to determine the funding for a project by examining each individual work element funding table. This is not currently the case for all work elements. For each work element funding table, SACOG should identify the specific revenue source and identify the exact amount of funding from each source. | The individual work element table has been updated to clearly identify the specific funding sources. |
| <ul style="list-style-type: none"> For consistency purposes, all work elements funded with Consolidated Planning Grant (CPG) funds should have a designated "Previous Work" section that reflects the progress made by the MPO in carrying out the prior year's OWP and should identify the products that were completed (FTA Circular 8100.1D). This should be done for all work elements, including those that are ongoing efforts. <p>Clarification: There does not need to be a new completed products section; please just identify the previous work in the "previous work" section. For instance, for the OWP development work element (which is an ongoing effort), just state that you submitted quarterly reports, finalized the FY 20-21 OWP, submitted amendments, etc.</p> | The "Previous Work" section to various work elements have been updated to reflect the products the were completed. |

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL**

COMMENTS AND RESPONSES

| COMMENTS | SACOG RESPONSES |
|--|---|
| <ul style="list-style-type: none"> • For work elements funded entirely with federal funds, all work can be assumed to be completed with federal funds; however, for work elements that are funded in-part with non-federal funding sources, information should be provided specifying if federal funds should be assumed to be used for all tasks of a work element or if federal or non-federal funds are associated with specific tasks. This may be needed to determine eligibility. | <p>The funding resources has been reallocated to fund work elements as much as we can using "single" funding source. Work elements that cannot fully funded with federal funds are supplemented with state and/or local funds. Those work elements with non-federal funding associated with specific tasks are specified as such.</p> |
| <ul style="list-style-type: none"> • On page 5, in the Institutional Relationships" Section: Other federally recognized tribes that claim portions of the Sacramento region as their territory could also be mentioned here: <ul style="list-style-type: none"> o Buena Vista Rancheria of Me-Wuk Indians o Enterprise Rancheria Estom Yumeka Maidu o Greenville Rancheria of Maidu Indians o Ione Band of Miwok Indians of California o Washoe Tribe of Nevada and California | <p>"Institutional Relationships" section has been updated to include the other federally recognized tribes.</p> |
| S P E C I F I C C O M M E N T S | |
| <ul style="list-style-type: none"> • SAC104: SACOG Civic Lab Implementation | |
| <ul style="list-style-type: none"> o As mentioned in last year's draft OWP comment letter, SACOG must identify each pilot project in sufficient detail to determine eligibility for FHWA PL funds. o SACOG states that separate funds will be used for the implementation of projects; however, implementation funds are not identified in the work element funding table. As is, the entire work element is funded using federal planning funds; therefore, the tasks/products related to implementation are ineligible. | <p>This project is for staff time to plan, develop, and design the next round of Civic Lab programming plus various progress reports, measurements, and to provide technical assistance to project teams. Separate funds are used for the implementation of projects. To ensure no concerns with eligibility, the FHWA PL funding for this project has been replaced with local fund.</p> |
| <ul style="list-style-type: none"> • SAC 107: Model Development and Support | |
| <ul style="list-style-type: none"> o SACOG will need to differentiate which tasks/products will be using federal funds and which tasks/products will use SB 1 Sustainable Communities Formula funds. This is due to the nature of this work element, specifically that part of this work element being used to satisfy requirements for SB 743 and SB 375. | <p>The project description and tasks have been updated to specify task product that will be using to satisfy requirements for SB743 and SB375 utilizing local fund. The SB1 has been replaced with local fund for this project.</p> |
| <ul style="list-style-type: none"> • SAC 113: Regional Air Quality and Climate Planning | |
| <ul style="list-style-type: none"> o This work element includes staff activities for both the regional planning partnership and interagency consultation. Interagency consultation is a requirement of the transportation conformity process, and we support the activities in the OWP; however, if SACOG is not going to perform those activities, they must discuss how interagency consultation will be carried out. <p>Clarification: In the draft OWP dated March 1, Task 1 was listed as RPP (bimonthly) and task 7 was interagency consultation (quarterly). The comment made sought to clarify the relationship between these two, as we understand that SACOG currently uses the RPP to carry-out the IAC function. The previous OWP - 2020-21 – was similar, but Task 7 indicated interagency consultation as needed (not quarterly). Clarification is sought regarding SACOG's intentions. Do you intend to keep the IAC as part of RPP or break it out and have quarterly meeting specifically for IAC?</p> | <p>Task 7 frequency has been adjusted to "as needed" and description has been updated to include the identified mechanisms (RPP and Public Participation Plan).</p> |

**SACRAMENTO AREA COUNCIL OF GOVERNMENTS
FISCAL YEAR 2021-2022 BUDGET AND OWP - FINAL
COMMENTS AND RESPONSES**

| COMMENTS | SACOG RESPONSES |
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| • SAC 114: Programming, Project Delivery, and the MTIP | |
| o This project is identified as utilizing RSTP funds, but there is no indication of federal share of project cost. RSTP is only identified in the text on page 41 as being federal – “RSTP/STBGP”. The notes to the table on page 27 also do not indicate fund types as being federal. This issue needs to be addressed for SAC 133 and SAC 213 as well. | Summary table in the individual work elements have been updated to clearly identify the fund type as being federal and to indicate the federal share of project cost. |
| • SAC 117: Transit Asset Management Plan | |
| o This work element includes transit-oriented development (TOD) work with SacRT per the work element description. The tasks also include multiple references to REMIX software. This project is primarily federally funded. Work element SAC 208 is called REMIX Project, and also discusses TOD. This project is also primarily federally funded. Please clarify how these projects relate to each other. | Revised Project Description and Tasks - removed any reference of TOD. The REMIX software was previously funded with the transit-oriented development (TOD) grant. SacRT has effectively used Remix to design and deploy its SacRT Forward, SmaRT Ride ON-Demand Microtransit service and several other mobility improvement projects. SACOG procured and renewed the REMIX software using federal funds so regional transit agencies can use the software tools for route planning, data analysis, demographics, cost analysis, and route design mapping that assisted the transit agencies with quantifying funding for community design and justification with capital assets used for transit services. |
| • SAC 118: Data Development, Monitoring, and Support | |
| o SACOG must clearly make the connection to identify how this activity ties to the federal transportation planning process. In the work element description, SACOG states that “staff will continue working on annual housing inventory.” | Added more information in the work element description. |
| • SAC 118 and SAC 119 | |
| o If Task 2 will include collecting and updating tribal boundaries, we suggest stating this explicitly. | Added specifics to what files will be collected/updated for the Open Data Portal |
| • SAC 120: Regional Housing Needs Planning | |
| o Many tasks/activities in this work element are not eligible for federal planning funds, and not all tasks have a transportation nexus. Previously, this work element was funded using State funds; however, this work element is now funded primarily by federal funds. As written, the tasks and products do not meet a federal requirement associated with surface transportation planning. SACOG must use a non-federal funding source for this work element. | The FTA 5303 funding has been replaced with SB1. |
| • SAC 126: MTP/SCS Update; SAC 127: MTP/SCS Update – PCTPA | |
| o If work or coordination with outside agencies includes tribal governments, we suggest stating this explicitly. | Added "including tribal governments" to the outside agency coordination task |
| SAC 221: Buildout Inventory Data Collection | |
| o If work or coordination with outside agencies includes tribal governments, we suggest stating this explicitly. | The project description has been updated to include discussions about outreach with tribal governments and agencies. |

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| COMMENTS | SACOG RESPONSES |
|---|---|
| • Overall Revenue and Expenditure Tables | |
| <p>o SACOG must include an additional column to include expenditures for consultant services. The table on page 25 of the PDF lists "Direct Services and Pass- Through Project Expenditure Estimates." The column furthest to the right is titled "Other," but "Other" is not defined. There is no distinction of consultant or professional services to indicate which projects are to be accomplished entirely or in part by external entities.</p> | <p>The budget summary table has been updated to clearly identify the consultant and pass-through categories.</p> |
| <p>o The table on pages 26 and 27 of the PDF provides revenue estimates by project. The notes associated with "other revenues" are useful in identifying funding sources in conjunction with the later listings for the projects; however, there are inconsistencies between this table and the work element funding tables.</p> <p>o For example, work element SAC 133 is noted as "a" which is 4 County TDA, when it should appear as "o" and "v" – RSTP and CMAQ.</p> <p>o Additionally, the entire category of discretionary and partnership projects is noted as "I" – SAFE – which is not correct.</p> <p>o Please review all notes and ensure correct information is presented and is consistent with the work element funding tables.</p> | <p>The notes in the "other revenues" column of budget summary table have been updated to reflect the correct information.</p> |
| <p>o SACOG does not currently identify FHWA PL carryover or FTA 5303 carryover in the overall budget summary table on page 26 of the PDF. If SACOG wishes to use toll credits for their FHWA PL carryover and FTA 5303 carryover, they must estimate the carryover for each funding source and their respective toll credits.</p> | <p>FHWA PL carryover or FTA 5303 carryover have been added.</p> |
| • General Comment | |
| <p>o As a general comment, all projects funded with federal funds need to be identified as such.</p> | <p>All projects funded with federal funds have been identified. See detailed response in the general comment above.</p> |