



**FINAL SACOG FTA 5307/5339 FUNDING ELIGIBILITY – DETERMINATION**

**Is your agency or organization eligible to apply for Federal Transit Administration (FTA) 5307/5339 funds?**

**If your agency or organization is already an FTA grantee, please provide documentation of grantee status as well as documentation of ability to fulfill all requirements under the Fixing America’s Surface Transportation (FAST) Act.**

**If your agency or organization is not already an FTA grantee, please answer the following:**

- 1. Is your agency or organization a provider of “public transportation”?** Defined as regular, continuing shared-ride surface transportation services that are open to the general public or open to the general public defined by age, disability, or income.

\_\_\_\_\_ YES \_\_\_\_\_ NO

If yes move on to the next question. If no, your agency or organization is not eligible.

- 2. Is your agency or organization a designated or direct recipient of FTA 5307/5339 funds?** (If your agency is a designated or direct recipient of 5307 funds you may skip question 4)

\_\_\_\_\_ YES \_\_\_\_\_ NO

OR

**Does your agency or organization qualify as a subrecipient (to either a designated or direct recipient) of FTA 5307/5339 funds?** Eligible subrecipients include public entities otherwise eligible to become direct recipients under Section 5307 OR private non-profit operators of job access reverse commute projects OR agencies/organizations eligible for 5339 funds.

\_\_\_\_\_ YES \_\_\_\_\_ NO

If yes move on to the next question. If no, your agency or organization is not eligible.

- 3. If awarded funding could your agency comply with all required FTA Certifications & Assurances related to your FTA 5307/5339 funded project, including required National Transit Database (NTD) reporting?** Please see the FTA Certifications & Assurances checklist and documentation on the following pages for more information.

\_\_\_\_\_ YES \_\_\_\_\_ NO

If yes move on to the next question. If no, your agency or organization is not eligible.

- 4. If your agency or organization will apply as a subrecipient has there been coordination with the designated and/or direct recipient under whom your agency or organization will apply for funds, including coordination related to FTA Certifications and Assurances?** Please see the FTA Certifications & Assurances list and documentation (link) on the following pages for more information.

\_\_\_\_\_ YES

If yes your agency or organization is eligible to apply for FTA 5307/5339 funds.

\_\_\_\_\_ NO

If no coordination has yet occurred please provide the signature of the applicable designated or direct recipient confirming their willingness to allow your agency or organization to apply for 5307/5339 funds as a subrecipient.

\_\_\_\_\_  
Signature and Printed Name, Name of DR/Direct Recipient

\_\_\_\_\_  
Date

If no/no signature, your agency or organization is not eligible to apply for FTA 5307/5339 funds.



The original of the “Certifications and Assurances” should be marked “ORIGINAL” and signed and dated in blue ink. Use the legal name of your agency or organization. Attach documentation, such as an authorizing resolution, designating a person authorized to sign on behalf of the agency.

FTA FFY 2018 Certifications and Assurances (<https://www.transit.dot.gov/funding/grants/grantee-resources/fta-fiscal-year-2018-certifications-and-assurances>)

### **Description**

1. Required Certifications and Assurances for Each Applicant.
2. Lobbying.
3. Private Sector Protections.
4. Rolling Stock Reviews and Bus Testing.
5. Demand Responsive Service.
6. Intelligent Transportation Systems.
7. Interest and Financing Costs and Acquisition of Capital Assets by Lease.
8. Transit Asset Management Plan, Public Transportation Safety Program, and State Safety Oversight Requirements.
9. Alcohol and Controlled Substances Testing.
10. Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement).
11. State of Good Repair Program.
12. Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.
13. Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.
14. Enhanced Mobility of Seniors and Individuals with Disabilities Programs.
15. Rural Areas and Appalachian Development Programs
16. Tribal Transit Programs (Public Transportation on Indian Reservations Programs).
17. State Safety Oversight Grant Program.
18. Public Transportation Emergency Relief Program.
19. Expedited Project Delivery Pilot Program.
20. Infrastructure Finance Programs.
21. Construction Hiring Preferences.

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Signature and Printed Name

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Date

By signing this document you are certifying that your agency or organization is in compliance with all FTA Certifications and Assurances required for the award of FTA 5307 and 5339 funds. If no signature, your agency or organization is not eligible to apply for FTA 5307/5339 funds.

All Applicants should be prepared to submit all documentation related to your agency’s/organization’s compliance with the FTA Certifications and Assurances requirements for the award of FTA 5307 and 5339 funds prior to application for awarded funds.