



Transportation Committee

July 31, 2014

2014 SACOG Delivery Plan Update and 2015 Delivery Plan Development Process

Issue: What is the status of regional project delivery in 2014 and what is the process for developing 2015 project delivery policies and a 2015 delivery plan?

Recommendation: None, this is for information only.

Discussion: Each year, SACOG helps project sponsors deliver their projects with SACOG-controlled federal funds from the Congestion Mitigation and Air Quality (CMAQ) program, Regional Surface Transportation Program (RSTP), and Regional Improvement Program (RIP). Project sponsors navigate a complicated federal aid funding process to request and spend these funds in a timely manner. Delivery timing, prioritization tiers, and general practices are all outlined in an annually approved Delivery Plan Process. The Delivery Plan Process for 2014 (see Attachment A) was approved by the SACOG Board in September 2013.

On May 15, 2014, staff updated the Transportation Committee on projected 2014 delivery, including objectives and strategies to employ for meeting project delivery goals. The receive & file item also described project sponsor requests for loans to advance projects currently programmed with RIP funds in later years. SACOG was successful in employing the identified strategies to meet delivery goals and was able to work with project sponsors' requests for loans for project advancements.

SACOG Agencies Will Obligate over \$70 Million for Projects in 2014

Project sponsors and Caltrans Local Assistance staff have been working hard to authorize projects. The attached table (Attachment B) lists all \$76.9 million in projects that have obligated or intend to obligate this year. As of July 24, 2014, the region has obligated \$62.6 million in federal RSTP and CMAQ funding. Project sponsors have submitted Requests for Authorization (RFA) for \$4.5 million, with another \$3.5 million planned to be submitted without issues. This brings the region's projected delivery total for federal fiscal year (FFY) 2014 to \$70.6 million, which is a nine-year record and likely to be the highest delivery amount in the region's history. Another \$6.3 million in projects intending to RFA in 2014 have challenges that will likely prevent them from successfully obligating funds this year.

FFY	SACOG Delivery
2005	\$ 50,159,143
2006	\$ 50,381,144
2007	\$ 45,221,495
2008	\$ 51,214,296
2009	\$ 58,903,237
2010	\$ 46,213,931
2011	\$ 34,031,877
2012	\$ 54,090,808
2013	\$ 37,832,691
<i>9-year average</i>	\$ 47,560,958
2014 projected delivery	\$ 70,600,000
<i>Delivery over 9-year average</i>	\$ 23,039,042

This exceptionally high delivery rate for the region will likely win additional Obligation Authority (OA) for SACOG through the "August Redistribution" process, which is the federal redistribution of leftover OA from the rest of the country.

\$22.7 Million in Tier 3 Loans Increases 2014 Delivery

SACOG has had success creating opportunities for other projects to advance through its tiered prioritization process in order to balance delivery failure. Projects in Tier I have funds programmed in the current year, FFY 2014, and had delivery priority until February 1, 2014. Projects in Tier II are programmed in other years of the Metropolitan Transportation Improvement Program, but shared delivery priority with Tier I projects from February 1 until May 1, 2014. Tier III projects are those that desired a loan of CMAQ or RSTP funds. After May 1, 2014, SACOG could loan these projects funding in order to help meet overall delivery targets.

The following projects received Tier III loans. These were projects programmed with RIP funds in later years:

Agency	Title	Fund Type	Loan Amount	Phase	ID
Caltrans	I-5 HOV Lanes - Phase 1	CMAQ	\$2,000,000	ROW	CAL20466
City of Davis	Mace Boulevard Road Diet	CMAQ	\$134,000	PE	YOL19327
City of Rancho Cordova	Zinfandel Complex Improvements	RSTP	\$700,000	PE	SAC24703
City of Woodland	East Main Street Improvements	RSTP	\$500,000	PE	YOL19305
County of Yuba	Powerline Road Bike/Ped Improvements - Phase 2	CMAQ	\$913,000	CON	YUB16006
Regional Transit	CNG Bus Replacement	CMAQ	\$18,500,000	CON	REG18014
Total			\$22,747,000		

SACOG Requests \$12 Million in CMAQ Loans from Other MPOs to Cover High Delivery

SACOG ran out of CMAQ apportionment in early July. To ensure continued delivery, SACOG negotiated loans from other regions for \$12 million of CMAQ apportionment. Repayment of these interest-free loans will be factored into future funding round revenue estimates.

2015 Project Delivery Plan and Process Development

The 2014 Delivery Plan and Process will conclude on September 30, 2014. After this date, SACOG will need an approved process to develop the 2015 Delivery Plan.

Below is the schedule for development of the 2015 Delivery Plan process:

Date	Activity
Aug 27, 2014	2015 Project Delivery Plan process presented to the Regional Planning Partnership
Aug 28, 2014	2015 Project Delivery Plan process presented to the Transportation Committee
Sep 18, 2014	SACOG Board approves process
Sep 18, 2014	Notice sent to project sponsors requesting projects for delivery

Changes under consideration by SACOG include the following:

- Advance preparation of initial delivery plan.
- Explore new online information-sharing tools to enable frequent exchanges of delivery information and deadlines.

Approved by:

Mike McKeever
Chief Executive Officer

MM:SS:gg
Attachments

Key Staff: Sharon Sprowls, Senior Program Specialist, (916) 340-6235
Renée DeVere-Oki, Programming and Project Delivery Team Manager, (916) 340-6219
José Luis Cáceres, Associate Planner, (916) 340-6218
Sam Shelton, Associate Planner, (916) 340-6251

**SACOG Board of Directors****Item #13-9-4
Consent**

September 19, 2013

Approve Delivery Plan 2014 Process

Issue: What process should be adopted for developing the 2014 SACOG Project Delivery Plan?

Recommendation: The Transportation Committee unanimously recommends that the Board approve the 2014 Project Delivery Plan process.

Committee Action/Discussion: Each year, SACOG staff helps project sponsors deliver their projects with SACOG-controlled federal funds. Project sponsors navigate a complicated federal aid funding process to request and spend these funds in a timely manner. SACOG's annual delivery plan prioritizes who can request federal funds during the current Federal Fiscal Year (FFY) by balancing past commitments, funding availability, and project readiness.

On December 9, 2012, the SACOG Board approved the 2013 FFY Delivery Plan and Process, which concludes on September 30, 2013. Staff has been diligently working with project sponsors over the summer months to maximize the use of federal funds and increase delivery. Staff will be returning as part of a future Board cycle to highlight the results of this process once the FFY ends.

Proposed 2014 Delivery Plan Process

SACOG staff will initiate the process by requesting project sponsors to complete a Project Cost and Schedule form (PCS form), similar to the Project Cost and Schedule form used in the application process for the 2014 SACOG Flexible Funding Round. Staff will use the information from these forms to develop the 2014 Project Delivery Plan, showing basic project information, funding amounts, and an expected date for the project sponsor's Request for Authorization (RFA) for federal funds. If project sponsors believe that the current date is inaccurate, they should update the PCS form with a description of the delay so that SACOG staff can be more accurately predict delivery for 2014. If the schedule in the PCS form cannot be met, SACOG staff will find other projects to advance.

As in past years, the 2014 Delivery Plan will have three tiers: The first tier is for projects programmed in the current year and bond-funded projects programmed in any year of the Metropolitan Transportation Improvement Program (MTIP). These projects will have priority for SACOG's federal funds until February 1, 2014. The second tier is for projects programmed in any year of the MTIP. These projects will have priority for SACOG's federal funds until May 1, 2014. The third tier is for projects not programmed in the MTIP that would like to borrow SACOG's federal funds to deliver a project earlier than its other identified funds might allow. SACOG will consider these requests based on delivery need. Staff recommends approval of the process outlined in more detail in Attachment A.

Approved by:

Mike McKeever
Chief Executive Officer

MM:SS:gg
Attachment

Key Staff: Matt Carpenter, Director of Transportation Services, (916) 340-6276
Renee DeVere-Okie, Team Manager of Programming and Project Delivery, (916) 340-6219
Sam Shelton, Associate Planner, (916) 340-6251

PROPOSED 2014 DELIVERY PLAN PROCESS

Because of SACOG's past project delivery successes, the 2014 Project Delivery Plan proposes to use the same process as earlier plans. Projects will be classified under the following prioritized three tiers:

Classification	Project Group	Level of Priority
Tier I	Projects programmed in the MTIP for FFY 2014 and programmed projects with Proposition 1B funds irrespective of year.	Priority until February 1, 2014
Tier II	Projects programmed in any year of the MTIP	Priority until May 1, 2014
Tier III	Projects not programmed in the MTIP that desire a loan.	Will be considered after May 1, 2014

As shown in the above table, projects programmed in the SACOG MTIP for FFY 2014 and programmed projects with Proposition 1B funds in any year of the MTIP will be given the highest priority in the new plan to implement the projects. The hope is that between October 1, 2013, and February 1, 2014, sponsors of these projects will submit their fully completed Request for Authorization (RFA) to Caltrans District 3 Office of Local Assistance. Should there be problems fully completing the RFA and those problems carryover beyond February 1, 2014, that project will lose its highest priority status and compete with projects in Tier II. This will continue until May 1, when SACOG will consider loans for un-programmed projects. The 2014 Project Delivery Plan offers the opportunity to un-programmed projects seeking loans for implementation from SACOG. The process and conditions for such loans are already well established in the SACOG region, and the proposed 2014 plan will adhere to them.

The following is the proposed plan schedule:

Date	Activity
Aug 28, 2013	2014 Project Delivery Plan process presented to the Regional Planning Partnership
Sep 5, 2013	2014 Project Delivery Plan process presented to the Transportation Committee
Sep 26, 2013	SACOG Board approves process
Oct 1, 2013	Letter sent to project sponsors requesting 2014 projects for delivery
Oct 31, 2013	Deadline to receive all project delivery requests
Dec 4, 2013	SACOG will prepare initial delivery plan
Mar 3, 2014	Delivery plan update request sent to jurisdictions
Mar 17, 2014	Deadline to receive updates
Apr 1, 2014	Submit SACOG 2014 Draft Project Delivery Plan to Caltrans

As in past years, staff proposes to expand SACOG's project delivery potential by borrowing apportionments and/or obligational authority (OA) or loaning, as appropriate, from other regional agencies or from the state. Extending these practices for the 2014 Delivery Plan may help fund additional projects or keep funds within the region. With respect to borrowing or loaning funds, under normal circumstances, staff will take any proposed loan agreements to the Board for its review and approval prior to signature by the Chief Executive Officer. In the event that there is an urgent need for SACOG to execute a loan agreement, current Board delegation gives the Chief Executive Officer discretion to commit SACOG for such purposes. Consistent with past practices, staff would then report back to the Board at the earliest opportunity or at the yearend delivery update. An example of this type of update can be seen in Attachment A-1; this is a report of an actual loan agreement which took place as part of the 2013 Delivery Process.

2013 Loan Agreements Example*:

**This is a report of an actual loan agreement which took place as part of the 2013 Delivery Process*

Loan Agreement: Entered and effective August 7, 2013. SACOG loaned \$440,000 in FFY 2012/2013 apportionment, FFY 2013/2014 programming capacity, and FFY 2013/2014 obligational authority to the Tahoe Regional Transportation Planning Agency (TRPA). TRPA will be repaying the apportionment, programming capacity, and obligational authority in FFY 15/16.

Loan Agreement Background: TRPA and the El Dorado County Transportation Commission requested that SACOG loan \$440,000 to TRPA to allow TRPA to advance its CMAQ-funded Lake Tahoe Boulevard Enhancement Project. This agreement was beneficial to TRPA; it can now deliver a project in the fall of 2013. SACOG benefits from spending down available CMAQ apportionment in the current year.

FFY 2014 SACOG RSTP and CMAQ Project Status

Projects that have obligated or are still attempting obligation in FFY 2014
as of 07/24/2014

	Obligated	Not yet obligated	Total
Caltrans District 3			
Feather River Parkway	\$ 900,000		\$ 900,000
I-5 HOV Lanes - Phase 1	\$ 2,000,000		\$ 2,000,000
Total	\$ 2,900,000		\$ 2,900,000
City of Citrus Heights			
Auburn Blvd. Complete Streets - Phase 2 (Rusch Park to Northern City Limits)	\$ 1,062,000		\$ 1,062,000
Mariposa Safe Routes to School Phase 3		\$ 119,913	\$ 119,913
Sunrise Blvd. Complete Streets		\$ 2,383,228	\$ 2,383,228
Total	\$ 1,062,000	\$ 2,503,141	\$ 3,565,141
City of Davis			
California St Bridge Rehab.			
L St. Improvements		\$ 139,000	\$ 139,000
Mace Blvd Road Diet	\$ 134,000		\$ 134,000
Total	\$ 134,000	\$ 139,000	\$ 273,000
City of Elk Grove			
Complete Streets Reconstruction - Waterman Rd.	\$ 576,000		\$ 576,000
ITS Master Plan - Phase 4 Implementation	\$ 64,000		\$ 64,000
Old Town Elk Grove Improvement Project Phase 2	\$ 400,000		\$ 400,000
Total	\$ 1,040,000		\$ 1,040,000
City of Folsom			
Folsom Lake Bicycle/Pedestrian Trail & Overcrossing	\$ 778,800		\$ 778,800
Green Valley Road Widening	\$ 500,000		\$ 500,000
Lake Natoma Trail Gap Closure		\$ 1,715,100	\$ 1,715,100
Total	\$ 1,278,800	\$ 1,715,100	\$ 2,993,900
City of Lincoln			
Lincoln Blvd. Streetscape - Phase 1	\$ 2,313,911		\$ 2,313,911
Total	\$ 2,313,911		\$ 2,313,911
City of Placerville			
Broadway Bike Lanes	\$ 350,000		\$ 350,000
Clay Street at Cedar Ravine	\$ 214,592		\$ 214,592
El Dorado Trail Bike Path - Clay St. to Bedford Ave.			
El Dorado Trail Forni/Main St. to Ray Lawyer Drive			
Western Placerville Interchanges Phase 1B	\$ 40,000		\$ 40,000
Total	\$ 604,592		\$ 604,592
City of Rancho Cordova			
Aramon Dr./Coloma Rd. Signals			
Coloma Rd. Signals			
Folsom Blvd. Complete Streets (Phase 4)	\$ 330,216		\$ 330,216
Rancho Cordova Elementary School Bicycle and Pedestrian Improvements	\$ 215,637		\$ 215,637
Sunrise Blvd. Complete Streets Rehabilitation	\$ 1,879,000		\$ 1,879,000
Traffic Management Center & ITS Upgrades		\$ 190,000	\$ 190,000
Zinfandel Complex Improvements		\$ 700,000	\$ 700,000
Total	\$ 2,424,853	\$ 890,000	\$ 3,314,853
City of Rocklin			
Pacific Street-Bikeway/Neighborhood Electric Vehicle Expansion Project	\$ 135,516		\$ 135,516
Total	\$ 135,516		\$ 135,516

**Projects that have obligated or are still attempting obligation in FFY 2014
as of 07/24/2014**

	Obligated	Not yet obligated	Total
City of Roseville			
Oak Street Improvements	\$ 2,591,780		\$ 2,591,780
Total	\$ 2,591,780		\$ 2,591,780
City of Sacramento			
Complete Street Rehabilitation - Power Inn Rd.	\$ 100,000		\$ 100,000
Complete Streets Rehabilitation - Bell Ave.	\$ 914,944		\$ 914,944
Complete Streets Rehabilitation - Fruitridge Rd.	\$ 110,000		\$ 110,000
Meadowview Rd. Streetscape Project	\$ 443,000		\$ 443,000
R Street Market Plaza (16th to 18th St)	\$ 2,700,000		\$ 2,700,000
South Sacramento Parkway Trail - West	\$ 424,944		\$ 424,944
Total	\$ 4,692,888		\$ 4,692,888
City of West Sacramento			
Broadway Bridge	\$ 442,700		\$ 442,700
Sycamore Trail/Westacre and Bryte Bicycle Routes	\$ 110,663		\$ 110,663
Total	\$ 553,363		\$ 553,363
City of Woodland			
Downtown Streetscape (Main St.)		\$ 915,000	\$ 915,000
East Main St. Improvements	\$ 500,000		\$ 500,000
Total	\$ 500,000	\$ 915,000	\$ 1,415,000
City of Yuba City			
Feather River Bridge at 5th St		\$ 1,026,000	\$ 1,026,000
Garden Highway Bicycle Lanes and Sidewalks		\$ 680,000	\$ 680,000
Garden Highway Complete Streets Rehabilitation	\$ 832,600		\$ 832,600
Total	\$ 832,600	\$ 1,706,000	\$ 2,538,600
County of El Dorado			
El Dorado Trail Ext. - Los Trampas Dr to Halcon Rd	\$ 55,000		\$ 55,000
El Dorado Trail Ext. - Missouri Flat Rd to El Dorado Rd	\$ 745,000		\$ 745,000
Francisco Dr Right-Turn Pocket at El Dorado Hills Blvd	\$ 60,770	\$ 767,774	\$ 828,544
New York Creek Trail East - Phase 2	\$ 128,000		\$ 128,000
Northside School Class I Bike Path - Phase 1 & 2	\$ 645,200		\$ 645,200
Silva Valley Pkwy Class I/II Bike Path - Harvard Wy to Green Valley Rd	\$ 325,000		\$ 325,000
Total	\$ 1,958,970	\$ 767,774	\$ 2,726,744
County of Placer			
Cook Riolo Road Pathway	\$ 880,857		\$ 880,857
HMA Overlay, Various County Roads (Yr1)	\$ 932,899		\$ 932,899
Pedestrian Improvements along Hwy 49, Education Street, and Town Court	\$ 165,000		\$ 165,000
Total	\$ 1,978,756		\$ 1,978,756
County of Sacramento			
Fair Oaks Blvd. Bicycle and Pedestrian Mobility Project	\$ 632,989		\$ 632,989
Fair Oaks Blvd. Phase 3 (FOBI-3)	\$ 662,360		\$ 662,360
Florin Creek Trail/SOFA Bike & Pedestrian Improvements	\$ 243,458		\$ 243,458
Garfield Ave. Bike Lanes and Pedestrian Connectivity Project	\$ 316,166		\$ 316,166
Old Florin Town Streetscape Phase 2	\$ 566,592		\$ 566,592
Total	\$ 2,421,565		\$ 2,421,565
County of Sutter			
Brittan Elementary School Pedestrian Improvements		\$ 467,000	\$ 467,000
Total		\$ 467,000	\$ 467,000

Projects that have obligated or are still attempting obligation in FFY 2014
as of 07/24/2014

	Obligated	Not yet obligated	Total
County of Yolo			
CR 27 Complete Streets Road Reconstruction	\$ 75,000		\$ 75,000
CR 98 Bike and Safety Improvement - Phase 2	\$ 1,593,540		\$ 1,593,540
Total	\$ 1,668,540		\$ 1,668,540
County of Yuba			
Mathews Ln. and Ramirez Rd. Farm-to-Market Project		\$ 110,500	\$ 110,500
Powerline Rd Bike/Ped Improvements Phase 2	\$ 913,485		\$ 913,485
SR 70/Feather River Blvd. Interchange	\$ 3,569,000		\$ 3,569,000
Total	\$ 4,482,485	\$ 110,500	\$ 4,592,985
Placer County Transit			
PCT Bus Replacements - 2015		\$ 732,000	\$ 732,000
Total		\$ 732,000	\$ 732,000
Placer County Transportation Planning Agency			
Placer County Congestion Management Program	\$ 170,000		\$ 170,000
Placer County Freeway Service Patrol	\$ 68,750		\$ 68,750
Total	\$ 238,750		\$ 238,750
Sac. Metro Air Quality Management District			
Bike Share	\$ 200,000		\$ 200,000
Spare the Air Phase 2	\$ 600,000		\$ 600,000
Total	\$ 800,000		\$ 800,000
SACOG			
Downtown/Riverfront Transit (Streetcar) Project Development	\$ 5,000,000		\$ 5,000,000
Programming and Monitoring (RSTP) Phase 2	\$ 186,000		\$ 186,000
Regional Bicycle and Pedestrian Data Collection	\$ 559,510		\$ 559,510
Transportation Demand Management (TDM) Phase 2	\$ 1,200,000		\$ 1,200,000
Total	\$ 6,945,510		\$ 6,945,510
Sacramento Regional Transit District			
CNG Paratransit Vehicle Replacement	\$ 1,571,200		\$ 1,571,200
CNG Replacement Buses & Related Spare Parts	\$ 18,500,000		\$ 18,500,000
Dos Rios Light Rail Station	\$ 500,000		\$ 500,000
Horn Light Rail Station	\$ 500,000		\$ 500,000
Total	\$ 21,071,200		\$ 21,071,200
Southeast Connector JPA			
Capital Southeast Connector Project		\$ 2,000,000	\$ 2,000,000
Total		\$ 2,000,000	\$ 2,000,000
Yolo County Transportation District			
Yolobus Fixed Route Bus Replacement		\$ 1,596,300	\$ 1,596,300
Yolobus Paratransit Vehicle Replacement		\$ 798,000	\$ 798,000
Total		\$ 2,394,300	\$ 2,394,300
Grand Total	\$ 62,630,079	\$ 14,339,815	\$ 76,969,894