



Transportation Committee
Meeting Date: 6/7/2018
Agenda Item No.: 2018-June-7.

Subject: Fiscal Year 2018-2019 Regional Program of Projects for the Federal Transit Administration's Section 5311 Funds (Est. time: 3 minutes)

Action

Prepared by: Barbara VaughanBechtold

Approved by: Matt Carpenter

Attachments: Yes

1. Issue:

SACOG, working with the three eligible rural transit operators, has prepared the Fiscal Year (FY) 2018-2019 Regional Program of Projects (POP) for Federal Transit Administration (FTA) 5311 rural transit funding.

2. Recommendation:

That the Transportation Committee recommend that the board approve by resolution the FY 2018-2019 FTA Section 5311 Program of Projects.

3. Background/Analysis:

The FTA Section 5311 program provides funding for public transportation in non-urbanized areas. The FTA apportions funds to the states according to a statutory formula based on each state's population in non-urbanized areas (those under 50,000 population). The funds are available to the state for obligation for the year of apportionment plus two additional years.

In California, ten percent of the funds are set aside in a state reserve, 15 percent are allocated for intercity bus transportation, and 75 percent are apportioned to the Regional Transportation Planning Agencies (RTPAs) for the support of non-urbanized public transportation. The Program of Projects (POP) adopted by each RTPA for its apportioned funds must be included in the State POP submitted by Caltrans to the FTA.

4. Discussion/Analysis:

In the SACOG region, three public transportation providers are currently eligible to apply for FTA Section 5311 funds: Sacramento County's South County Transit (SCT/LINK), Yolo County Transportation District (YCTD), and Yuba-Sutter Transit. The Placer County Transportation Planning Agency and the El Dorado County Transportation Commission complete FTA Section 5311 POPs for the respective counties they serve as RTPAs.

5. Fiscal Impact/Grant Information:

The FTA Section 5311 programmed apportionment for the SACOG region is \$767,610 for FY 2018-2019. Per board policy, these funds are apportioned to the three eligible providers based on their share of the rural population in the decennial census (2010 Census). The regional POP (Attachment A) provides a total of \$634,414 for rural transit operations of SCT/LINK, YCTD and Yuba-Sutter Transit, and \$132,196 for two replacement buses for Yuba-Sutter Transit demand-response services. Upon board approval, SACOG will submit the POP to Caltrans for submission to the FTA, enabling the agencies to apply for the 5311 funds for these purposes.

ATTACHMENTS:

Description

Attachment A: FTA 5311 Program of Projects

Attachment B: Resolution Approving the Submission of the FTA 5311 Rural Transit Operators POP

This staff report aligns with the following SACOG Work Plan Goal(s):

#4: Connect Low-Income and Disadvantaged Populations to Jobs and Opportunity



**CALIFORNIA DEPARTMENT OF TRANSPORTATION
DIVISION OF RAIL & MASS TRANSPORTATION
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (POP)**

FEDERAL FISCAL YEAR 2019



County/Region: SACOG Region

District: District 3

Original Submission Date: June 21, 2018

Revision No.

Revision Submission Date:

FEDERAL FISCAL YEAR 2019

Section 5311 Program of Projects (POP)

Regular 5311 JARC 5311 CMAQ

(A) Available Funding:

	Carryover: (+)	\$0
<i>Estimated</i> Apportionment [FFY 2019]:	(+)	\$767,610
(A) TOTAL FUNDS AVAILABLE:	=	\$767,610

(B) Programming (POP): Complete Parts I and II

		<i>Federal Share</i>
Part I. Operating Assistance - Total:	(+)	\$634,414
Part II. Capital - Total:	(+)	\$132,196
(B) Total [Programmed]:	(=)	\$767,610

(C) Balance

		<i>Federal Share</i>
(A) Total Funds Available:	(+)	\$767,610
(B) Total [Programmed]:	(-)	\$767,610
* Balance:	(=)	\$0

*BALANCE – Regional Apportionment Funds ONLY:

- o Please Note -
 - funds must be programmed in subsequent year
 - final approval to be determined by the Department
- o Request/Letter to carryover funds should include -
 - justification for programming postponement
 - purpose and project plan
 - letter of support from local Transportation Planning Agency

(D) Flexible Funds (CMAQ, STP or Federalized STIP): Complete Part III (For reference only).

Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation will receive a conformation once the transfer is completed.

		<i>Federal Share</i>
(D) Part III. Flex Fund - Total:		_____

FUNDING SUMMARY

		<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]:	(+)	\$767,610
(D) Flex Fund - Total:	(+)	\$0
GRAND TOTAL [Programmed]:	(=)	\$767,610

Contact Person/Title: Barbara VaughanBechtold, Associate Planner

Date: June 21, 2018

Phone Number: 916-321-9000

Statewide Transportation Improvement Program (STIP) –

All federal funds to be used for transit projects must be included in a federally approved STIP. A Transportation Planning Agency (TPA) must ensure that Section 5311 projects are included in the Department of Transportation’s (Department) Statewide Transportation Federal Improvement Program (FSTIP), which is jointly approved by the Federal Highway Administration (FHWA) and FTA.

A copy of the federally approved STIP Page must be attached for all projects to be programmed through the Section 5311 program. The project description and associated dollar amounts must be consistent with the federally approved STIP information.

Metropolitan Planning Organizations (MPOs) are responsible for programming projects within their jurisdiction. Upon receiving the POPs from the Districts, Rural Transit & Procurement staff will submit **Non-MPO / Rural Transportation** organizations projects directly to the Department’s Division of Transportation Programming for inclusion into the FSTIP.

For further guidance see the Department’s Division of Transportation Programming website:

<http://www.dot.ca.gov/dtrmt/fed5311.html>

PART I. Regional Apportionment - Operating Assistance

For all Operating Projects - a complete application MUST be submitted with this POP.

Subrecipient	Project Description	Federal Share (2017 Funds)	Carryover Funds Utilized	Local Share (Excluding Toll Credit)	Toll Credit Amount	Net Project Cost	PROGRAM OF PROJECTS DOC YR	PROGRAMMED DATE OR AMENDMENT #
Sacramento County (SCT/Link)	Operating Assistance	\$295,053		\$833,591		\$1,128,644	FY 18-19	MTIP 17-00 12-16-16; carried over to MTIP 19-00
Yolo County (YCTD)	Operating Assistance	\$140,361		\$247,185		\$387,546	FY 18-19	MTIP 17-00 12-16-16 (programmed) Admin Mod #21 4/13/2018; carried over to MTIP 19-00
Yuba-Sutter Transit (Yuba & Sutter counties)	Operating Assistance	\$200,000		\$161,475		\$361,475	FY 18-19	MTIP 17-00 12-16-16; carried over to MTIP 19-00
	Operating Assistance Funds Total	\$634,414		\$1,242,251		\$1,877,665		

PART IV. Vehicle Replacement Information

State Contract Local Purchase Piggyback Other Explain: See below Joint Procurement

Vehicle Description							
Type	Number of Passengers	Fuel Type	Length	VIN. #	In Service Date	Current/End Mileage	Disposition Date
Bus	16	Diesel	25'	1GB9G5A67A1106352	03/2010	225,499	06/2019
Bus	16	Diesel	25'	1GB9G5A67A1107100	03/2010	214,051	06/2019

Purchase two 16-18 passenger, gasoline powered, 25'-30' demand response buses to replace three 16 passenger, diesel, 25' buses.

INSTRUCTIONS

PART I – Operating Assistance

- Do not list previously approved projects (i.e. projects listed in a prior grant).
- Funding split: 44.67% Local Share and 55.33% Federal Share.
- Third Party Contract Requirement – all third party contracts must contain federal clauses required under FTA Circular 4220.1F and approved by the State prior to bid release. .
- Net project cost does not include ineligible cost (i.e. farebox, other revenues, etc.).

PART II – Capital (Vehicles, Construction, Preventive Maintenance and Planning)

- **All** vehicles procured with Section 5311 program funds must be ADA accessible regardless of service type (fixed route or demand-response service).
- Capital projects must contain a full description of project: A PRELIMINARY ENVIRONMENTAL SURVEY (PES) is required for Capital projects other than vehicle procurement.(i.e. facility or shelter - include specifics, planning studies, preventative maintenance). The PES does not satisfy the requirements for environmental review and approval. When the agency prepares the documentation for a categorical exclusion, the Environmental Justice Analysis must be included.
- Funding split: 11.47% Local Share and 88.53% Federal Share.
- Procurement Contract Requirement – all documents used for procuring capital projects must contain federal clauses required under FTA Circular 4220.1F and approved by DRMT prior to bid release.

PART III. Section 5311 FLEXIBLE FUNDS [i.e. CMAQ, STP, or Federalized STIP*] if applicable:

- Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation (DRMT) will receive a confirmation once the transfer is completed.
- Funding split: 11.47% Local Share and 88.53% Federal Share. CMAQ may be funded up to 100% at the discretion of the Regional Planning Agency/MPO.

PART IV. Vehicle Replacement

- For each vehicle identified as replacement and/or expansion of fleet in sections II and/or III the following information is required: type (van, bus, trolley, type 1, 2, 3, 4, etc), vehicle identification number (VIN #), vehicle length (i.e. 35 ft.), passenger capacity, fuel type, in service date, current/end mileage, disposition date, and procurement type (i.e. State contract, local procurement, piggyback, etc).

FEDERAL FISCAL YEAR 2019: All Flexible (CMAQ) CAPITAL funded projects - a complete 5311 application is required at the time a POP is submitted. Part II of the application (Regional Certifications and Assurances) must be complete (i.e. signature, specific project programming information).



SACRAMENTO AREA COUNCIL OF GOVERNMENTS

RESOLUTION NO. XX – 2018

**APPROVING THE SUBMISSION OF THE
FEDERAL TRANSIT ADMINISTRATION 5311 RURAL
TRANSIT OPERATORS PROGRAM OF PROJECTS**

WHEREAS, the Sacramento Area Council of Governments (SACOG) is the designated Regional Transportation Planning Agency (RTPA) for the Counties of Sacramento, Sutter, Yolo and Yuba; and

WHEREAS, this designation requires that SACOG complete a Program of Projects allocating funds for FTA Section 5311 for fiscal year (FY) 2018/19 to the eligible operators of rural transit services in the SACOG RTPA area; and

NOW THEREFORE, BE IT RESOLVED, that the Council hereby approves the SACOG Federal Transit Administration 5311 Program of Projects for FY 2018/19: Sacramento County Transit/Link, Yolo County Transportation District and Yuba-Sutter Transit rural transit operations; and Yuba-Sutter Transit rural transit capital purchase of two demand response buses.

BE IT FURTHER RESOLVED, that the SACOG FTA 5311 Program of Projects for FY 2018/19 be submitted to the California Department of Transportation.

PASSED AND ADOPTED, this 21st day of June 2018, by the following vote of the Board of Directors:

AYES:

NOES:

ABSTAIN:

ABSENT:

Jay Schenirer
Chair

James Corless
Chief Executive Officer