



Regional Planning Partnership

April 16, 2014

2014 SACOG Delivery Plan Update

Issue: What projects are poised for delivery in 2014 and what is SACOG doing to ensure that it meets its project delivery goals and targets?

Recommendation: None. This is for information only.

Discussion: SACOG collected delivery information from sponsors of projects slated to receive the following federal funds: Congestion Mitigation and Air Quality (CMAQ), Regional Surface Transportation Program (RSTP), and Regional Improvement Program (RIP). The attached list shows which projects intend to submit requests for authorization (RFAs) in order to obligate federal funds in federal fiscal year (FFY) 2014 so that they can proceed with federally reimbursable work.

If all of the project funding in Tiers I and II of the 2014 Delivery Plan were obligated in FFY 2014, SACOG would exceed its obligation authority (OA) target by \$16.5 million (134 percent of its \$48.2 million target). OA is available capacity to expend funds. Apportionment targets are separate from OA; apportionment is available funding. If all CMAQ projects were to deliver, SACOG would exceed its CMAQ apportionment by \$7.3 million (21 percent above \$34.2 million target). If all RSTP projects delivered, SACOG would still have a balance of \$13.5 million (63 percent below \$38.5 million target).

Projected delivery for 2014 is modest and may still meet SACOG's targets. Although it would seem that the region is on track, historically, delivery of federally funded transportation projects has been well below 100 percent of projections. Often, only two thirds of those projects that said they would deliver actually delivered. Should delivery slip by more than 25 percent, SACOG would fall short of using its entire obligation authority.

Creative Approaches for 2014

SACOG has had success creating opportunities for other projects to advance to balance delivery failure. One way SACOG does this is through its tiered prioritization process. Projects in Tier I have funds programmed in the current year, FFY 2014, and have delivery priority until February 1, 2014. Projects in Tier II are programmed in other years of the Metropolitan Transportation Improvement Program (MTIP), but share delivery priority with Tier I projects from February 1 until May 1, 2014. Tier III projects are those that desire a loan of CMAQ or RSTP funds. After May 1, 2014, SACOG may loan these projects funding in order to help meet overall delivery targets.

In past years, Tiers I and II added up to SACOG meeting 150 percent of its obligation authority (OA) delivery targets. This year, the two tiers add up to only 134 percent. In order to ensure more than 100 percent of OA delivery, SACOG will pursue Tier III loans for projects that wish to borrow CMAQ or RSTP funds and pay them back with local or state funds. Tier III currently has \$23.2 million in projects wishing to deliver. If all three tiers delivered, SACOG would hit 182 percent of its OA delivery target.

There is a downside to this delivery strategy. If delivery is strong and SACOG runs out of apportionment or the state runs out of obligation authority, then some projects won't be able to obligate in FFY 2014. They will need either to wait for their tier window in 2015, or else use advance construction. But the benefits are that SACOG is likely to win additional OA for the region by over-delivering its OA and participating in "August Redistribution," which is the federal redistribution of leftover OA from the rest of the country.

Purpose, Goals, Objectives, and Strategies^[s1]

The Delivery Plan is a tool SACOG employs as part of a series of objectives and strategies intended to help SACOG achieve its project delivery goals.

Goals

1. Promptly implement the Metropolitan Transportation Plan/Sustainable Communities Strategy for 2035(MTP/SCS).
2. Ensure that no funding is lost from the region.
3. Win additional funding for the region.

Objectives

1. Help individual MTP/SCS projects deliver on time or earlier.
2. Deliver and exceed the region's annual obligation authority (OA) early and before the end of the fiscal year.
3. Deliver or exceed the region's entire apportionment for CMAQ and RSTP early and before the end of the fiscal year.

Strategies

1. Coordinate with project sponsors, Caltrans Local Assistance, and federal partners through phone calls, emails, and regular meetings.
2. Provide any assistance possible, such as helping sponsors surmount delivery obstacles and streamlining MTIP amendments.
3. Pursue creative approaches, such as offering Tier III loans, facilitating funding swaps, borrowing apportionments and/or obligation authority from other regions, and taking advantage of toll credits.

JLC:gg

Attachments

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SACOG 2014 Delivery Information for CMAQ and RSTP Funded Projects

Updated 04-15-2014

Includes all projects planning to obligate CMAQ or RSTP, with expected RFAs for the entire FFY 2014, which covers October 2013 through September 2014. Some of these projects may have already obligated.

**Sponsor Estimated Request for Authorization (RFA) Dates,
Last updates from sponsors 03-28-14**

By Feb 1	By May 1	After May 1	Grand Total
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Tier I, II, and III projects with RSTP and CMAQ sorted by lead agency

Tier I RSTP and CMAQ Obligated as of 04-13-2014	\$	8,886,550	\$	350,108	\$	-	\$	9,236,658
City of Placerville	\$	-	\$	214,592	\$	-	\$	214,592
Clay Street at Cedar Ravine	\$	-	\$	214,592	\$	-	\$	214,592
City of Rancho Cordova	\$	1,879,000	\$	-	\$	-	\$	1,879,000
Sunrise Blvd. Complete Streets Rehabilitation	\$	1,879,000	\$	-	\$	-	\$	1,879,000
City of Rocklin	\$	-	\$	135,516	\$	-	\$	135,516
Pacific Street-Bikeway/Neighborhood Electric Vehicle Expansion Project	\$	-	\$	135,516	\$	-	\$	135,516
City of Roseville	\$	2,591,780	\$	-	\$	-	\$	2,591,780
Oak Street Improvements	\$	2,591,780	\$	-	\$	-	\$	2,591,780
County of El Dorado	\$	60,770	\$	-	\$	-	\$	60,770
Francisco Drive Right-Turn Pocket	\$	60,770	\$	-	\$	-	\$	60,770
County of Yuba	\$	3,569,000	\$	-	\$	-	\$	3,569,000
SR 70/Feather River Blvd. Interchange	\$	3,569,000	\$	-	\$	-	\$	3,569,000
Sac. Metro Air Quality Management District	\$	600,000	\$	-	\$	-	\$	600,000
Spare the Air Phase 2	\$	600,000	\$	-	\$	-	\$	600,000
SACOG	\$	186,000	\$	-	\$	-	\$	186,000
Programming and Monitoring (RSTP) Phase 2	\$	186,000	\$	-	\$	-	\$	186,000
Tier I RSTP and CMAQ programmed in FFY 2014	\$	6,589,647	\$	22,866,695	\$	12,131,090	\$	41,587,432
Caltrans District 3	\$	-	\$	-	\$	900,000	\$	900,000
Feather River Parkway	\$	-	\$	-	\$	900,000	\$	900,000
City of Citrus Heights	\$	-	\$	1,181,913	\$	-	\$	1,181,913
Auburn Blvd Complete Streets - Phase 2 (Rusch Park to Northern City Limits)	\$	-	\$	1,062,000	\$	-	\$	1,062,000
Mariposa Safe Routes to School - Phase 3	\$	-	\$	119,913	\$	-	\$	119,913
City of Colfax	\$	-	\$	200,000	\$	-	\$	200,000
Grass Valley Street Railroad Crossing Pedestrian and Bike Improvements	\$	-	\$	200,000	\$	-	\$	200,000
City of Elk Grove	\$	400,000	\$	640,000	\$	-	\$	1,040,000
Complete Streets Reconstruction - Waterman Rd.	\$	-	\$	576,000	\$	-	\$	576,000
ITS Master Plan - Phase 4 Implementation	\$	-	\$	64,000	\$	-	\$	64,000
Old Town Elk Grove Streetscape Improvements - Phase 2	\$	400,000	\$	-	\$	-	\$	400,000

**Sponsor Estimated Request for Authorization (RFA) Dates,
Last updates from sponsors 03-28-14**

	By Feb 1	By May 1	After May 1	Grand Total
City of Folsom	\$ -	\$ 500,000	\$ 1,715,100	\$ 2,215,100
Green Valley Road Widening	\$ -	\$ 500,000	\$ -	\$ 500,000
Lake Natoma Trail – Gap Closure	\$ -	\$ -	\$ 1,715,100	\$ 1,715,100
City of Lincoln	\$ -	\$ 2,313,911	\$ -	\$ 2,313,911
Lincoln Blvd. Streetscape - Phase 1	\$ -	\$ 2,313,911	\$ -	\$ 2,313,911
City of Placerville	\$ -	\$ -	\$ 762,000	\$ 762,000
Western Placerville Interchanges Phase 1B	\$ -	\$ -	\$ 762,000	\$ 762,000
City of Rancho Cordova	\$ 215,637	\$ 190,000	\$ 330,216	\$ 735,853
Folsom Blvd Complete Streets -Phase 4	\$ -	\$ -	\$ 330,216	\$ 330,216
Rancho Cordova Elementary School Bicycle and Pedestrian Improvement Project	\$ 215,637	\$ -	\$ -	\$ 215,637
Traffic Management Center	\$ -	\$ 190,000	\$ -	\$ 190,000
City of Sacramento	\$ 100,000	\$ 1,680,416	\$ 2,700,000	\$ 4,480,416
Complete Street Rehabilitation - Bell Avenue	\$ -	\$ 914,944	\$ -	\$ 914,944
Complete Street Rehabilitation - Fruitridge Road	\$ -	\$ 110,000	\$ -	\$ 110,000
Complete Street Rehabilitation - Power Inn Road	\$ 100,000	\$ -	\$ -	\$ 100,000
Meadowview Road Streetscape Project	\$ -	\$ 443,000	\$ -	\$ 443,000
R Street Corridors Phase II Streetscape Improvements, 16th to 18th Streets	\$ -	\$ -	\$ 2,700,000	\$ 2,700,000
South Sacramento Parkway Trail - West	\$ -	\$ 212,472	\$ -	\$ 212,472
City of West Sacramento	\$ 110,663	\$ 442,700	\$ -	\$ 553,363
Broadway Bridge Project Study Report	\$ -	\$ 442,700	\$ -	\$ 442,700
Sycamore Trail/Westacre and Bryte Bicycle Routes	\$ 110,663	\$ -	\$ -	\$ 110,663
City of Winters	\$ -	\$ -	\$ 646,269	\$ 646,269
Walnut Lane Roundabout	\$ -	\$ -	\$ 646,269	\$ 646,269
City of Yuba City	\$ 680,000	\$ 3,185,000	\$ -	\$ 3,865,000
Feather River Bridge at 5th Street	\$ -	\$ 3,185,000	\$ -	\$ 3,185,000
Garden Highway Bicycle Lanes and Sidewalks	\$ 680,000	\$ -	\$ -	\$ 680,000
County of El Dorado	\$ 645,200	\$ -	\$ 1,833,000	\$ 2,478,200
El Dorado Trail Extension - Missouri Flat Road to El Dorado	\$ -	\$ -	\$ 745,000	\$ 745,000
El Dorado Trail Extension -Los Trampas to Halcon	\$ -	\$ -	\$ 55,000	\$ 55,000
Francisco Drive Right-Turn Pocket	\$ -	\$ -	\$ 708,000	\$ 708,000
Northside School Class I Bike Path - Phase 1 & 2	\$ 645,200	\$ -	\$ -	\$ 645,200
Silva Valley Bike Path	\$ -	\$ -	\$ 325,000	\$ 325,000
County of Placer	\$ -	\$ 2,326,693	\$ 2,364,097	\$ 4,690,790
Auburn Folsom Rd Class II Bike Lane	\$ -	\$ -	\$ 558,240	\$ 558,240
Cook Riolo Road Pathway	\$ -	\$ -	\$ 880,857	\$ 880,857
HMA Overlay, Various County Roads (Yr1)	\$ -	\$ 2,326,693	\$ -	\$ 2,326,693
Pedestrian Improvements along Hwy 49, Education Street, and Town Court	\$ -	\$ -	\$ 925,000	\$ 925,000

**Sponsor Estimated Request for Authorization (RFA) Dates,
Last updates from sponsors 03-28-14**

	By Feb 1	By May 1	After May 1	Grand Total
County of Sacramento	\$ 662,360	\$ 1,759,205	\$ 105,408	\$ 2,526,973
Fair Oaks Blvd - Phase 3 (FOBI-3)	\$ 662,360	\$ -	\$ -	\$ 662,360
Fair Oaks Boulevard Bicycle and Pedestrian Mobility Project	\$ -	\$ 632,989	\$ -	\$ 632,989
Florin Creek Trail/SOFA Bike & Pedestrian Improvements	\$ -	\$ 243,458	\$ -	\$ 243,458
Garfield Avenue Bike Lanes and Pedestrian Connectivity Project	\$ -	\$ 316,166	\$ -	\$ 316,166
Old Florin Town Streetscape - Phase 2	\$ -	\$ 566,592	\$ 105,408	\$ 672,000
County of Yolo	\$ -	\$ -	\$ 75,000	\$ 75,000
Road Rehabilitation - County Road 27	\$ -	\$ -	\$ 75,000	\$ 75,000
County of Yuba	\$ -	\$ 97,300	\$ -	\$ 97,300
Mathews Lane and Ramirez Road Farm-to-Market Project	\$ -	\$ 97,300	\$ -	\$ 97,300
Paratransit	\$ -	\$ 1,571,200	\$ -	\$ 1,571,200
Replace CNG Paratransit Vehicles	\$ -	\$ 1,571,200	\$ -	\$ 1,571,200
Placer County Transit	\$ -	\$ 732,000	\$ -	\$ 732,000
PCT Bus Replacements - 2015	\$ -	\$ 732,000	\$ -	\$ 732,000
Placer County Transportation Planning Agency	\$ -	\$ 168,334	\$ -	\$ 168,334
Placer County Congestion Management Program	\$ -	\$ 168,334	\$ -	\$ 168,334
Sac. Metro Air Quality Management District	\$ -	\$ -	\$ 200,000	\$ 200,000
Bike Share	\$ -	\$ -	\$ 200,000	\$ 200,000
SACOG	\$ 1,381,487	\$ 378,023	\$ -	\$ 1,759,510
Regional Bicycle and Pedestrian Data Collection	\$ 181,487	\$ 378,023	\$ -	\$ 559,510
Transportation Demand Management (TDM) Phase 2	\$ 1,200,000	\$ -	\$ -	\$ 1,200,000
Sacramento Regional Transit District	\$ -	\$ 500,000	\$ 500,000	\$ 1,000,000
New Dos Rios Light Rail Station	\$ -	\$ 500,000	\$ -	\$ 500,000
New Horn Light Rail Station	\$ -	\$ -	\$ 500,000	\$ 500,000
Sacramento/ West Sac/ SRTD/ YCTD	\$ -	\$ 5,000,000	\$ -	\$ 5,000,000
Downtown/Riverfront Transit (Streetcar) Project Development	\$ -	\$ 5,000,000	\$ -	\$ 5,000,000
Yolo County Transportation District	\$ 2,394,300	\$ -	\$ -	\$ 2,394,300
Yolobus Fixed Route Bus Replacement	\$ 1,596,300	\$ -	\$ -	\$ 1,596,300
Yolobus Paratransit Vehicle Replacement	\$ 798,000	\$ -	\$ -	\$ 798,000
Tier II RSTP and CMAQ in MTIP after FFY 2014	\$ -	\$ -	\$ 13,843,456	\$ 13,843,456
City of Citrus Heights	\$ -	\$ -	\$ 2,402,705	\$ 2,402,705
Mariposa Safe Routes to School - Phase 3	\$ -	\$ -	\$ 19,477	\$ 19,477
Sunrise Blvd. Complete Streets	\$ -	\$ -	\$ 2,383,228	\$ 2,383,228
City of Davis	\$ -	\$ -	\$ 192,000	\$ 192,000
Eighth St. RR Xing Bike/Ped Safety	\$ -	\$ -	\$ 192,000	\$ 192,000

**Sponsor Estimated Request for Authorization (RFA) Dates,
Last updates from sponsors 03-28-14**

	By Feb 1	By May 1	After May 1	Grand Total
City of Folsom	\$ -	\$ -	\$ 1,673,800	\$ 1,673,800
Historic Powerhouse Canal Trail - Johnny Cash Trail Connection Project	\$ -	\$ -	\$ 778,800	\$ 778,800
Historic Powerhouse/Folsom Lake Regional Trail	\$ -	\$ -	\$ 895,000	\$ 895,000
City of Live Oak	\$ -	\$ -	\$ 1,645,205	\$ 1,645,205
Live Oak Collaborative Highway 99 Streetscape Improvements	\$ -	\$ -	\$ 810,000	\$ 810,000
Pennington Rd. Complete Streets Rehabilitation	\$ -	\$ -	\$ 835,205	\$ 835,205
City of Woodland	\$ -	\$ -	\$ 915,000	\$ 915,000
Downtown Streetscape (Main St.)	\$ -	\$ -	\$ 915,000	\$ 915,000
City of Yuba City	\$ -	\$ -	\$ 433,000	\$ 433,000
Bogue Rd. Complete Streets Rehabilitation	\$ -	\$ -	\$ 433,000	\$ 433,000
County of Sacramento	\$ -	\$ -	\$ 903,006	\$ 903,006
Fair Oaks Blvd - Phase 3 (FOBI-3)	\$ -	\$ -	\$ 903,006	\$ 903,006
County of Sutter	\$ -	\$ -	\$ 467,000	\$ 467,000
Brittan Elementary School Pedestrian Route Improvement Project	\$ -	\$ -	\$ 467,000	\$ 467,000
County of Yolo	\$ -	\$ -	\$ 1,593,540	\$ 1,593,540
CR 98 Bike and Safety Improvement - Phase 2	\$ -	\$ -	\$ 1,593,540	\$ 1,593,540
County of Yuba	\$ -	\$ -	\$ 13,200	\$ 13,200
Mathews Lane and Ramirez Road Farm-to-Market Project	\$ -	\$ -	\$ 13,200	\$ 13,200
Sac. Metro Air Quality Management District	\$ -	\$ -	\$ 3,605,000	\$ 3,605,000
Bike Share	\$ -	\$ -	\$ 3,605,000	\$ 3,605,000
Tier I and Tier II RSTP and CMAQ Total	\$ 15,476,197	\$ 23,216,803	\$ 25,974,546	\$ 64,667,546
Tier III STIP Projects considered for advancement through loans	\$ -	\$ -	\$ 23,234,000	\$ 23,234,000
Caltrans District 3	\$ -	\$ -	\$ 2,900,000	\$ 2,900,000
SR 51 NB Transition Lane and Local Roadway Improvements	\$ -	\$ -	\$ 900,000	\$ 900,000
I-5 HOV Lanes - Phase 1	\$ -	\$ -	\$ 2,000,000	\$ 2,000,000
City of Davis	\$ -	\$ -	\$ 134,000	\$ 134,000
Mace Blvd Complete Streets	\$ -	\$ -	\$ 134,000	\$ 134,000
City of Rancho Cordova	\$ -	\$ -	\$ 700,000	\$ 700,000
Zinfandel Complex Improvements	\$ -	\$ -	\$ 700,000	\$ 700,000
County of Yuba	\$ -	\$ -	\$ 500,000	\$ 500,000
Powerline Rd Bike/Ped Improvements Phase 2	\$ -	\$ -	\$ 500,000	\$ 500,000
City of Woodland	\$ -	\$ -	\$ 500,000	\$ 500,000
East Main St. Improvements	\$ -	\$ -	\$ 500,000	\$ 500,000
Sacramento Regional Transit	\$ -	\$ -	\$ 18,500,000	\$ 18,500,000
CNG Bus Replacement (from \$10m to \$18.5m)	\$ -	\$ -	\$ 18,500,000	\$ 18,500,000

SACOG 2014 Delivery Scenarios using Tier 3 loans

How are Delivery Plan "Tiers" associated with MTIP or RTIP program years?

	2013 MTIP	2014 RTIP	2014 Delivery Tiers *
2013	Covers 4 federal fiscal years		
2014			Tier I
2015			Tier II
2016			Tier II
2017			Tier III
2018			Tier III
2019			Tier III

* Tier III delivery applies to projects programmed in the STIP

How much Obligation Authority and Apportionment is available to SACOG?

	2014	Adjustment for Over-Delivery	Caltrans Oversight	Total
Obligation Authority	\$ 51,514,482	\$ (2,402,653)	\$ (945,036)	\$ 48,166,793

	Carry-Over/Prior Year	2014	De-obs thru Feb	Total
CMAQ Apportionment	\$ 5,197,963	\$ 28,735,941	\$ 358,757	\$ 34,292,661
RSTP Apportionment	\$ 11,679,527	\$ 25,297,826	\$ 1,498,426	\$ 38,475,779
				\$ 72,768,440

Who requested Tier 3 loans to advance their RTIP funded projects?

Tier III Request to Advance (STIP Funded Projects)

How much money could SACOG loan to Tier 3 projects?

	CMAQ	RSTP	
Rancho Cordova: Zinfandel Complex Improvements		\$ 700,000	
Caltrans: SR51 NB Transition Lanes		\$ 900,000	
Co. of Yuba: Powerline Rd.	\$ 500,000		
Davis: Mace Blvd.		\$ 134,000	
Woodland: East Main St.		\$ 500,000	
Caltrans: I5 HOV Lanes	\$ 2,000,000		
RT: CNG Bus Replacement	\$ 18,500,000		
Total	\$ 21,000,000	\$ 2,234,000	\$ 23,234,000

What is the best possible delivery scenario if SACOG does not issue Tier 3 loans?

	Scenario 1: All Tier I and Tier II Deliver		
	Delivery	Over/Under	Percentage
Obligation Authority Predicted to Use	\$64,667,546	(\$16,500,753)	134%
CMAQ Predicted to Use	\$41,592,661	(\$7,300,000)	121%
RSTP Predicted to Use	\$24,975,779	\$13,500,000	65%

What is the best possible delivery scenario if SACOG issues Tier 3 loans?

	Scenario 2: All Tiers I,II, and III Deliver		
	Delivery	Over/Under	Percentage
Obligation Authority Predicted to Use	\$87,901,546	(\$39,734,753)	182%
CMAQ Predicted to Use	\$62,592,661	(\$28,300,000)	183%
RSTP Predicted to Use	\$27,209,779	\$11,266,000	71%

What is the most likely delivery scenario if SACOG does issue Tier 3 loans?

	Scenario 3: Scenario 2 with 20% Failure		
	Delivery	Over/Under	Percentage
Obligation Authority Predicted to Use	\$70,321,237	(\$22,154,444)	146%
CMAQ Predicted to Use- (Applying 20% here only)	\$50,074,129	(\$15,781,468)	146%
RSTP Predicted to Use- (Applying 20% here only)	\$21,767,823	\$16,707,956	57%