



Regional Planning Partnership

August 21, 2013

Delivery Plan 2014 Process

Issue: What process should be adopted for developing the 2014 SACOG Project Delivery Plan?

Recommendation: That the RPP provide input on the attached proposed 2013 Project Delivery Plan process.

Discussion: Each year, SACOG staff helps project sponsors deliver their projects with SACOG-controlled federal funds. Project sponsors navigate a complicated federal aid funding process to request and spend these funds in a timely manner. SACOG's annual delivery plan prioritizes who can request federal funds during the current Federal Fiscal Year (FFY) by balancing past commitments, funding availability, and project readiness.

On December 9, 2012, the SACOG Board approved the 2013 FFY Delivery Plan and Process, which concludes on September 30, 2013. Staff has been diligently working with project sponsors over the summer months to maximize the use of federal funds and increase delivery. Staff will be returning as part of a future Board cycle to highlight the results of this process once the FFY ends.

Proposed 2014 Delivery Plan Process

SACOG staff will initiate the process by requesting project sponsors to complete a Project Cost and Schedule form (PCS form), similar to the Project Cost and Schedule form used in the application process for the 2014 SACOG Flexible Funding Round. Staff will use the information from these forms to develop the 2014 Project Delivery Plan, showing basic project information, funding amounts, and an expected date for the project sponsor's Request for Authorization (RFA) for federal funds. If project sponsors believe that the current date is inaccurate, they should update the PCS form with a description of the delay so that SACOG staff can be more accurately predict delivery for 2014. If the schedule in the PCS form cannot be met, SACOG staff will find other projects to advance.

As in past years, the 2014 Delivery Plan will have three tiers: The first tier is for projects programmed in the current year and bond-funded projects programmed in any year of the Metropolitan Transportation Improvement Program (MTIP). These projects will have priority for SACOG's federal funds until February 1, 2014. The second tier is for projects programmed in any year of the MTIP. These projects will have priority for SACOG's federal funds until May 1, 2014. The third tier is for projects not programmed in the MTIP that would like to borrow SACOG's federal funds to deliver a project earlier than its other identified funds might allow. SACOG will consider these requests based on delivery need. Staff recommends approval of the process outlined in more detail in Attachment A and would like the RPP's input.

SS:gg
Attachment

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PROPOSED 2014 DELIVERY PLAN PROCESS

Because of SACOG's past project delivery successes, the 2014 Project Delivery Plan proposes to use the same process as earlier plans. Projects will be classified under the following prioritized three tiers:

Classification	Project Group	Level of Priority
Tier I	Projects programmed in the MTIP for FFY 2014 and programmed projects with Proposition 1B funds irrespective of year.	Priority until February 1, 2014
Tier II	Projects programmed in any year of the MTIP	Priority until May 1, 2014
Tier III	Projects not programmed in the MTIP that desire a loan.	Will be considered after May 1, 2014

As shown in the above table, projects programmed in the SACOG MTIP for FFY 2014 and programmed projects with Proposition 1B funds in any year of the MTIP will be given the highest priority in the new plan to implement the projects. The hope is that between October 1, 2013, and February 1, 2014, sponsors of these projects will submit their fully completed Request for Authorization (RFA) to Caltrans District 3 Office of Local Assistance. Should there be problems fully completing the RFA and those problems carryover beyond February 1, 2014, that project will lose its highest priority status and compete with projects in Tier II. This will continue until May 1, when SACOG will consider loans for un-programmed projects. The 2014 Project Delivery Plan offers the opportunity to un-programmed projects seeking loans for implementation from SACOG. The process and conditions for such loans are already well established in the SACOG region, and the proposed 2014 plan will adhere to them.

The following is the proposed plan schedule:

Date	Activity
Aug 28, 2013	2014 Project Delivery Plan process presented to the Regional Planning Partnership
Sep 5, 2013	2014 Project Delivery Plan process presented to the Transportation Committee
Sep 26, 2013	SACOG Board approves process
Oct 1, 2013	Letter sent to project sponsors requesting 2014 projects for delivery
Oct 31, 2013	Deadline to receive all project delivery requests
Dec 4, 2013	SACOG will prepare initial delivery plan
Mar 3, 2014	Delivery plan update request sent to jurisdictions
Mar 17, 2014	Deadline to receive updates
Apr 1, 2014	Submit SACOG 2014 Draft Project Delivery Plan to Caltrans

As in past years, staff proposes to expand SACOG's project delivery potential by borrowing apportionments and/or obligational authority (OA) or loaning, as appropriate, from other regional agencies or from the state. Extending these practices for the 2014 Delivery Plan may help fund additional projects or keep funds within the region. With respect to borrowing or loaning funds, under normal circumstances, staff will take any proposed loan agreements to the Board for its review and approval prior to signature by the Chief Executive Officer. In the event that there is an urgent need for SACOG to execute a loan agreement, current Board delegation gives the Chief Executive Officer discretion to commit SACOG for such purposes. Consistent with past practices, staff would then report back to the Board at the earliest opportunity or at the yearend delivery update.