



SACOG Board of Directors

**Board of Directors Regular Meeting**

**Meeting Date:** 9/20/2018

**Agenda Item No.:** 2018-

September-20.

**Subject:** Fiscal Year 2019-2020 Caltrans Planning Grant Guidelines (Est. time: 0 minutes)

**Receive and File**

**Prepared by:** Sharon Sprowls

**Approved by:** James Corless

**Attachments:** Yes

**1. Issue:**

Caltrans recently released final draft guidelines for Fiscal Year (FY) 2019-2020 Sustainable Transportation and Adaption Planning Grant Programs.

**2. Recommendation:**

None, this is for information only.

**3. Background/Analysis:**

On July 23, Caltrans released draft guidelines for its Sustainable Transportation and Adaptation Planning grant programs at <http://www.dot.ca.gov/hq/tpp/grants.html>. Attachments A and B provide a summary of the two programs. Caltrans accepted comments on the guidelines through August 22, and has scheduled two final comment workshops in late September. Caltrans anticipates releasing the final guidelines and call for applications in early October, with a short turnaround for all grant applications to be due by November 2, 2018.

Because the SB 1-created Road Maintenance and Rehabilitation Account (RMRA) is the primary source for the Sustainable Communities grants, SACOG submitted comments suggesting that Caltrans extend the deadline specifically for Sustainable Communities grants until after election results are known on the proposed repeal of SB 1. However, at this time, we do not know whether or not Caltrans might revise the deadline.

**4. Discussion/Analysis:**

Staff emailed the attached memo (Attachment C) to city and county planning and public works staff, and SACOG's Regional Planning Partnership, Transit Coordinating Committee, and Transportation Demand Management Task Force, informing them of the draft program guidelines and key provisions, as well as staff contacts for coordination and assistance.

With the current due date for applications slated for November, SACOG staff anticipates bringing any SACOG-led grant applications through the October cycle for committee recommendation and board approval.

**5. Fiscal Impact/Grant Information:**

There is no fiscal impact at this time.

**6. This staff report aligns with the following SACOG Work Plan Goals:**

7. Deliver Key High-Profile Transportation Projects

**ATTACHMENTS:**

Description

Attachment A: Sustainable Trans Summary

Attachment B: Adaptation Summary

Attachment C: Grant Memo

## Sustainable Transportation Planning Grant Summary Chart

GRANT	FUND SOURCE	PURPOSE	WHO MAY APPLY	LOCAL MATCH
<p><b>Sustainable Communities Competitive</b></p>	<p><b>Budget</b> RMRA and SHA  State funds Approx. \$17 million  Up to \$2 million will be set-aside for technical projects such as data acquisition or travel model updates</p> <p><b>Grant Min.</b> \$50,000 for Disadvantaged Communities; \$100,000 for All Others</p> <p><b>Grant Max.</b> \$1,000,000</p>	<p>Funds local and regional multimodal transportation and land use planning projects that further the region's RTP SCS (where applicable), contribute to the State's GHG reduction targets, and also assist in achieving the Caltrans Mission and Grant Program Overarching Objectives (See Page 4).</p>	<p><b>The following are eligible to apply as a primary applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs with sub-applicants</li> <li>• RTPAs</li> <li>• Transit Agencies;</li> <li>• Cities and Counties;</li> <li>• Native American Tribal Governments</li> <li>• Other Public Transportation Planning Entities</li> </ul> <p><b>The following are eligible to apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs/RTPAs</li> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	<p>11.47 percent minimum (in cash or an in-kind* contribution). The entire minimum 11.47 percent local match may be in the form of an eligible in-kind contribution. Staff time from the primary applicant counts as cash match.</p>
<p><b>Sustainable Communities Formula</b></p>	<p><b>Budget</b> RMRA  State funds \$12.5 million</p>	<p>Funds local and regional multimodal transportation and land use planning projects that further the region's RTP SCS (where applicable), contribute to the State's GHG reduction targets, and also assist in achieving the Caltrans Mission and Grant Program Overarching Objectives (See Page 4).</p>	<p><b>The following are eligible to apply as a primary applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs</li> </ul>	<p>11.47 percent minimum (in cash or an in-kind* contribution). The entire minimum 11.47 percent local match may be in the form of an eligible in-kind contribution. Staff time from the primary applicant counts as cash match.</p>

\* For in-kind contribution requirements, refer to Page 24 of this Guide.

\*\* Public entities include state agencies, the Regents of the University of California, district, public authority, public agency, and any other political subdivision or public corporation in the State (Government Code Section 811.2).

# Sustainable Transportation Planning Grant Summary Chart

GRANT	FUND SOURCE	PURPOSE	WHO MAY APPLY	LOCAL MATCH
<p style="text-align: center;"><b>Strategic Partnerships</b></p>	<p style="text-align: center;"><b>Budget</b> FHWA SPR, Part I</p> <p>Federal funds \$1.5 million</p> <p><b>Grant Min.</b> \$100,000</p> <p><b>Grant Max.</b> \$500,000</p>	<p>Funds transportation planning studies in partnership with Caltrans that address the regional, interregional and statewide needs of the State highway system, and also assist in achieving the Caltrans Mission and Grant Program Overarching Objectives (See Page 4).</p>	<p><b>The following are eligible to apply as a primary applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs</li> <li>• RTPAs</li> </ul> <p><b>The following are eligible to apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs/RTPAs</li> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	<p>20 percent minimum (in non-federal funds or an in-kind* contribution). The entire minimum 20 percent local match may be in the form of an eligible in-kind contribution. Staff time from the primary applicant counts as cash match.</p>
<p style="text-align: center;"><b>Strategic Partnerships – Transit</b></p>	<p style="text-align: center;"><b>Budget</b> FTA Section 5304</p> <p>Federal funds \$3 million</p> <p><b>Grant Min.</b> \$500,000</p> <p><b>Grant Max.</b> \$1,000,000</p>	<p>Funds multimodal planning studies with a focus on transit, in partnership with Caltrans, of regional, interregional and statewide significance, and also assist in achieving the Caltrans Mission and Grant Program Overarching Objectives (See Page 4).</p>	<p><b>The following are eligible to apply as a primary applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs</li> <li>• RTPAs</li> </ul> <p><b>The following are eligible to apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• MPOs/RTPAs</li> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	<p>11.47 percent minimum (in non-federal funds or an in-kind* contribution). The entire minimum 11.47 percent local match may be in the form of an eligible in-kind contribution. Staff time from the primary applicant counts as cash match.</p>

\* For in-kind contribution requirements, refer to Page 24 of this Guide.

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## Funding

The Adaptation Planning grants are funded by the Public Transportation Account (PTA).

The FY 2019-20 funding amount is six million dollars and will be entirely competitively awarded.

The minimum application amount is \$100,000 and the maximum application amount is \$1,000,000.

The required local match is 11.47 percent.

Table 2: Adaptation Planning Grants Summary

FUND SOURCE	PURPOSE	WHO MAY APPLY	LOCAL MATCH
<p>Public Transportation Account</p> <p><b>Budget</b> State funds \$7,000,000</p> <p><b>Grant Min</b> \$100,000</p> <p><b>Grant Max</b> \$1,000,000</p>	<p>Support planning actions at local and regional levels that advance climate change adaptation efforts on the transportation system, especially efforts that serve the communities most vulnerable to climate change impacts.</p>	<p>The following are eligible to apply as a primary applicant:</p> <ul style="list-style-type: none"> <li>• MPOs</li> <li>• RTPAs</li> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> <li>• Local and Regional Agencies</li> <li>• Special Districts</li> </ul> <p>The following are eligible to apply as a sub-applicant:</p> <ul style="list-style-type: none"> <li>• MPOs/RTPAs</li> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> <li>• Local and Regional Agencies</li> <li>• Special Districts</li> <li>• Universities and Community Colleges</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.c.3)</li> <li>• Other Public Entities**</li> </ul>	<p>11.47% minimum (in cash or an in-kind* contribution).</p> <p>Staff time from the primary applicant counts as cash match.</p>

\* For in-kind contribution requirements, refer to Page 13 of this Guide.

\*\* Public entities include state agencies, the Regents of the University of California, district, public authority, public agency, and any other political subdivision or public corporation in the State (Government Code Section 811.2).

## **UPDATE: Adaptation Planning and Sustainable Communities Grant Programs**

On July 23, Caltrans issued draft final guidelines for the Adaptation Planning and Sustainable Communities grant programs for FY 2019/20. Caltrans has scheduled a workshop for final comments in late September.

### **Final Comment Workshop**

September 25, 12 – 1 PM  
Caltrans Basement Boardroom  
1120 N Street  
Sacramento, CA 95814

### **Application Timeline**

Final guidance is not expected to be released until early October for Adaptation, Strategic Partnership, Strategic Partnership-Transit, and Sustainable Communities Competitive and Formula grants. Draft guidelines currently have a due date for all applications of November 2, 2018. Since guidelines are not yet final, you are encouraged to check the Caltrans site regularly for any updates on the guidelines or due dates.

Both sets of guidelines encourage sub-applicants and RTPAs to work in advance of the application deadline with the appropriate MPO to coordinate application development, ensure proposed studies align with the MTP/SCS, and do not duplicate efforts.

**For Sustainable Communities or Strategic Partnership grants**, if you would potentially like SACOG to submit an application as a lead applicant, would like feedback on potential project concepts, or may want technical assistance with a grant application, **by September 15 please contact:**

Sharon Sprowls, Senior Program Specialist

[ssprowls@sacog.org](mailto:ssprowls@sacog.org)

916-340-6235

**For Adaptation Grant applications**, if you are considering submitting as a lead or sub-applicant, **by September 15 please contact:**

Raef Porter, Senior Analyst

[rporter@sacog.org](mailto:rporter@sacog.org)

(916) 340-6261