



Transportation Committee

May 25, 2017

2016/2017 and 2017/18 Regional Program of Projects for the Federal Transit Administration's Section 5311 Funds

Issue: SACOG, working with the three eligible rural transit operators, has prepared the Fiscal Year (FY) 2016/2017 and 2017/18 Regional Program of Projects for Federal Transit Administration (FTA) 5311 rural transit funding.

Recommendation: That the Transportation Committee recommend that the Board approve by resolution the FY 2016/2017 and 2017/18 FTA Section 5311 Program of Projects.

Discussion: The FTA Section 5311 program provides funding for public transportation in non-urbanized areas. The FTA apportions funds to the states according to a statutory formula based on each state's population in non-urbanized areas (under 50,000 population). The funds are available to the state for obligation for the year of apportionment plus two additional years.

In California, ten percent of the funds are set aside in a state reserve, 15 percent are allocated for intercity bus transportation, and 75 percent are apportioned to the Regional Transportation Planning Agencies (RTPAs) for the support of non-urbanized public transportation. The Program of Projects (POP) adopted by each RTPA for its apportioned funds must be included in the State Program of Projects submitted by Caltrans to the FTA.

In the SACOG region, three public transportation providers are currently eligible to apply for FTA Section 5311 funds: Sacramento County's South County Transit (SCT/LINK), Yolo County Transportation District (YCTD), and Yuba-Sutter Transit. The Placer County Transportation Planning Agency and the El Dorado County Transportation Commission complete FTA Section 5311 POPs for the respective counties they serve as RTPAs.

The FTA Section 5311 programmed apportionment for the SACOG region, including carryover funds, is \$549,686 for FY 2016/2017, and \$994,435 for FY 2017/18. Per Board policy, these funds are apportioned to the three eligible providers based on their share of the rural population denoted in the most recent decennial census (2010 Census). The POPs (Attachments A and B) developed with the three agencies provide a total of \$1,169,121 for rural transit operations of SCT/LINK, YCTD and Yuba-Sutter Transit, and \$375,000 for three replacement buses for Yuba-Sutter Transit demand-response services. Upon Board approval, SACOG will submit the POPs to Caltrans for submission to the FTA, which will enable the agencies to apply for the 5311 funds for these purposes.

Approved by:

James Corless
Chief Executive Officer

JC:BVB:ds
Attachments

Key Staff: Kirk E. Trost, Chief Operating Officer/General Counsel, (916) 340-6210
Matt Carpenter, Director of Transportation Services, (916) 340-6276
Azadeh Doherty, Senior Analyst, (916) 340-6221
Barbara VaughanBechtold, Associate Planner, (916) 340-6226



**CALIFORNIA DEPARTMENT OF TRANSPORTATION
DIVISION OF RAIL & MASS TRANSPORTATION
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (POP)**

FEDERAL FISCAL YEAR 2017



County/Region: SACOG Region

District: District 3

Original Submission Date: June 16, 2017

Revision No.

Revision Submission Date:

FEDERAL FISCAL YEAR 2017

Section 5311 Program of Projects (POP)

Regular 5311 JARC 5311 CMAQ

(A) Available Funding:

	Carryover: (+)	\$81,755
<i>Estimated</i> Apportionment [FFY 2017]:	(+)	\$722,927
(A) TOTAL FUNDS AVAILABLE:	=	\$804,682

(B) Programming (POP): Complete Parts I and II

		<i>Federal Share</i>
Part I. Operating Assistance - Total:	(+)	\$549,686
Part II. Capital - Total:	(+)	
(B) Total [Programmed]:	(=)	\$549,686

(C) Balance

		<i>Federal Share</i>
(A) Total Funds Available:	(+)	\$804,682
(B) Total [Programmed]:	(-)	\$549,686
* Balance:	(=)	\$254,996

***BALANCE – Regional Apportionment Funds ONLY:**

- o Please Note -
 - funds must be programmed in subsequent year
 - final approval to be determined by the Department
- o Request/Letter to carryover funds should include -
 - justification for programming postponement
 - purpose and project plan
 - letter of support from local Transportation Planning Agency

(D) Flexible Funds (CMAQ, STP or Federalized STIP): Complete Part III (For reference only).

Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation will receive a conformation once the transfer is completed.

		<i>Federal Share</i>
(D) Part III. Flex Fund - Total:		

FUNDING SUMMARY

		<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]:	(+)	\$549,686
(D) Flex Fund - Total:	(+)	\$0
GRAND TOTAL [Programmed]:	(=)	\$549,686

Contact Person/Title: Barbara VaughanBechtold, Associate Planner
Phone Number: 916-321-9000

Date: June 16, 2017

Statewide Transportation Improvement Program (STIP) –

All federal funds to be used for transit projects must be included in a federally approved STIP. A Transportation Planning Agency (TPA) must ensure that Section 5311 projects are included in the Department of Transportation’s (Department) Statewide Transportation Federal Improvement Program (FSTIP), which is jointly approved by the Federal Highway Administration (FHWA) and FTA.

A copy of the federally approved STIP Page must be attached for all projects to be programmed through the Section 5311 program. The project description and associated dollar amounts must be consistent with the federally approved STIP information.

Metropolitan Planning Organizations (MPOs) are responsible for programming projects within their jurisdiction. Upon receiving the POPs from the Districts, Rural Transit & Procurement staff will submit **Non-MPO / Rural Transportation** organizations projects directly to the Department’s Division of Transportation Programming for inclusion into the FSTIP.

For further guidance see the Department’s Division of Transportation Programming website:

<http://www.dot.ca.gov/hq/transprog/fedpgm.htm>

PART I. Regional Apportionment - Operating Assistance

For all Operating Projects - a complete application MUST be submitted with this POP.

Subrecipient	Project Description	Federal Share (2017 Funds)	Carryover Funds Utilized	Local Share (Excluding Toll Credit)	Toll Credit Amount	Net Project Cost	PROGRAM OF PROJECTS DOC YR	PROGRAMMED DATE OR AMENDMENT #
Sacramento County (SCT/Link)	Operating Assistance	\$277,878		\$806,178		\$1,084,056	FY 16-17	17-00 12-16-16
Sutter County (Yuba-Sutter Transit)	Operating Assistance	\$24,881	\$35,155	\$48,472		\$108,508	FY 16-17	17-00 12-16-16
Yolo County (YCTD)	Operating Assistance	\$132,191		\$437,797		\$569,988	FY 16-17	17-00 12-16-16
Yuba County (Yuba-Sutter Transit)	Operating Assistance	\$32,981	\$46,600	\$64,251		\$143,832	FY 16-17	17-00 12-16-16
	Operating Assistance Funds Total	\$467,931	\$81,755	\$1,356,698		\$1,906,384		

PART IV. Vehicle Replacement Information

State Contract Local Purchase Piggyback Other Explain: Joint Procurement

Vehicle Description							
Type	Number of Passengers	Fuel Type	Length	VIN. #	In Service Date	Current/End Mileage	Disposition Date

INSTRUCTIONS

PART I – Operating Assistance

- Do not list previously approved projects (i.e. projects listed in a prior grant).
- Funding split: 44.67% Local Share and 55.33% Federal Share.
- Third Party Contract Requirement – all third party contracts must contain federal clauses required under FTA Circular 4220.1E and approved by the State prior to bid release. .
- Net project cost does not include ineligible cost (i.e. farebox, other revenues, etc.).

PART II – Capital (Vehicles, Construction, Preventive Maintenance and Planning)

- **All** vehicles procured with Section 5311 program funds must be ADA accessible regardless of service type (fixed route or demand-response service).
- Capital projects must contain a full description of project: A PRELIMINARY ENVIRONMENTAL SURVEY (PES) is required for Capital projects other than vehicle procurement.(i.e. facility or shelter - include specifics, planning studies, preventative maintenance). The PES does not satisfy the requirements for environmental review and approval. When the agency prepares the documentation for a categorical exclusion, the Environmental Justice Analysis must be included.
- Funding split: 11.47% Local Share and 88.53% Federal Share.
- Procurement Contract Requirement – all documents used for procuring capital projects must contain federal clauses required under FTA Circular 4220.1E and approved by DRMT prior to bid release.

PART III. Section 5311 FLEXIBLE FUNDS [i.e. CMAQ, STP, or Federalized STIP*] if applicable:

- Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation (DRMT) will receive a confirmation once the transfer is completed.
- Funding split: 11.47% Local Share and 88.53% Federal Share. CMAQ may be funded up to 100% at the discretion of the Regional Planning Agency/MPO.

PART IV. Vehicle Replacement

- For each vehicle identified as replacement and/or expansion of fleet in sections II and/or III the following information is required: type (van, bus, trolley, type 1, 2, 3, 4, etc), vehicle identification number (VIN #), vehicle length (i.e. 35 ft.), passenger capacity, fuel type, in service date, current/end mileage, disposition date, and procurement type (i.e. State contract, local procurement, piggyback, etc).

FEDERAL FISCAL YEAR 2017: All Flexible (CMAQ) CAPITAL funded projects - a complete 5311 application is required at the time a POP is submitted. Part II of the application (Regional Certifications and Assurances) must be complete (i.e. signature, specific project programming information).



**CALIFORNIA DEPARTMENT OF TRANSPORTATION
DIVISION OF RAIL & MASS TRANSPORTATION
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (POP)**

FEDERAL FISCAL YEAR 2018



County/Region: SACOG Region

District: District 3

Original Submission Date: June 16, 2017

Revision No. _____

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FEDERAL FISCAL YEAR 2018**Section 5311 Program of Projects (POP)** Regular 5311 JARC 5311 CMAQ**(A) Available Funding:**

Carryover:	(+)	\$254,996
<i>Estimated</i> Apportionment [FFY 2018]:	(+)	\$739,439
(A) TOTAL FUNDS AVAILABLE:	=	\$994,435

(B) Programming (POP): Complete Parts I and II

		<i>Federal Share</i>
Part I. Operating Assistance - Total:	(+)	\$619,435
Part II. Capital - Total:	(+)	\$375,000
(B) Total [Programmed]:	(=)	\$994,435

(C) Balance

		<i>Federal Share</i>
(A) Total Funds Available:	(+)	\$994,435
(B) Total [Programmed]:	(-)	\$994,435
* Balance:	(=)	\$0

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		<i>Federal Share</i>
(D) Part III. Flex Fund - Total:		

FUNDING SUMMARY

		<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]:	(+)	\$994,435
(D) Flex Fund - Total:	(+)	\$0
GRAND TOTAL [Programmed]:	(=)	\$994,435

Contact Person/Title: Barbara VaughanBechtold, Associate Planner
Phone Number: 916-321-9000

Date: June 16, 2017

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Sacramento County (SCT/Link)	Operating Assistance	\$284,225		\$822,091		\$1,106,316	FY 17-18	17-00 12-16-16
Sutter County (Yuba-Sutter Transit)	Operating Assistance	\$86,000		\$69,435		\$155,435	FY 17-18	17-00 12-16-16
Yolo County (YCTD)	Operating Assistance	\$135,210		\$460,474		\$595,684	FY 17-18	17-00 12-16-16
Yuba County (Yuba-Sutter Transit)	Operating Assistance	\$114,000		\$92,040		\$206,040	FY 17-18	17-00 12-16-16
	Operating Assistance Funds Total	\$619,435		\$1,444,040		\$2,063,475		

PART IV. Vehicle Replacement Information

State Contract Local Purchase Piggyback Other Explain: Joint Procurement

Vehicle Description							
Type	Number of Passengers	Fuel Type	Length	VIN. #	In Service Date	Current/End Mileage	Disposition Date
Bus	16	Diesel	25'	1GB9G5A63A1107790	03/2010	154,740 Current	06/2019
Bus	16	Diesel	25'	1GB9G5A68A1107932	03/2010	196,618 Current	06/2019
Bus	16	Diesel	25'	1GB9G5A63A1108759	03/2010	178,643 Current	06/2019

Purchase three low-floor, 16-18 passenger, diesel, 25'-30' demand response buses to replace three high-floor, 16 passenger, diesel, 25' buses.

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SACRAMENTO AREA COUNCIL OF GOVERNMENTS

RESOLUTION NO. XX – 2017

**APPROVING THE SUBMISSION OF THE
FEDERAL TRANSIT ADMINISTRATION 5311 RURAL
TRANSIT OPERATORS PROGRAM OF PROJECTS**

WHEREAS, the Sacramento Area Council of Governments (SACOG) is the designated Regional Transportation Planning Agency for the Counties of Sacramento, Sutter, Yolo and Yuba; and

WHEREAS, this designation requires that SACOG complete a Program of Projects allocating funds for FTA Section 5311 for fiscal year 2016/2017 and 2017/18.

NOW THEREFORE, BE IT RESOLVED, that the Council hereby approves the SACOG Federal Transit Administration 5311 Program of Projects for FY 2016/2017 and 2017/18.

BE IT FURTHER RESOLVED, that the SACOG FTA 5311 Program of Projects for FY 2016/2017 and 2017/18 be submitted to the California Department of Transportation.

PASSED AND ADOPTED, this 15th day of June 2017, by the following vote of the Board of Directors:

AYES:

NOES:

ABSTAIN:

ABSENT:

Brian Veerkamp
Chair

James Corless
Chief Executive Officer