



Board of Directors Regular Meeting

Meeting Date: 4/19/2018

Agenda Item No.: 2018-April-17.

SACOG Board of Directors

Subject: Approve Fiscal Year 2017/18 Overall Work Program/Budget Amendment #5 (Est. time: 0 minutes)

Consent

Prepared by: Clint Holtzen

Approved by: James Corless

Attachments: Yes

1. Issue:

Amendment #5 to the Overall Work Program (OWP) and Budget for FY 2017-18

2. Recommendation:

The Government Relations & Public Affairs Committee recommends that the Board approve Amendment #5 to the OWP and Budget for FY 2017-18 and authorize submittal to Caltrans and federal partners.

3. Background/Analysis:

SACOG's Overall Work Program (OWP) describes the continuing, comprehensive, and coordinated metropolitan planning process for the six-county Sacramento Region. The OWP includes annual agency revenues and expenditures, and is used by Caltrans, federal agencies, and others to track activities of SACOG, Caltrans, the El Dorado County Transportation Commission (EDCTC), and the Placer County Transportation Planning Agency (PCTPA).

Amendments to the OWP are typical throughout the year to modify OWP projects, add new projects or revenue to the OWP, change project descriptions, or adjust staff and expenditures between OWP activities. Amendment #1 in August 2017, adjusted revenues and expenditures for new grants and other funds awarded to SACOG. Amendment #2 in December 2017, added a new project and related Senate Bill (SB) 1 competitive grant funds based on approval of the California Transportation Commission (CTC) at its December 6, 2017, meeting. Amendment #3 in January 2018, added additional SB1 competitive and formula funds and related projects, trued-up one-time grant funds and project obligations as of the close of FY 2016-17, and accounted for anticipated shifts in workload for the second half of the fiscal year. Amendment #4 included an administrative modification to correctly show the expenditures of Federal Transit Administration (FTA) 5303 funds within SACOG's core activities.

Amendment #5 is the final amendment for FY 2017-18, and increases total OWP expenditures by \$193,899, or 0.5% of the current OWP budget. This increase comes from a new \$170,000 Transformative Climate Communities (TCC) grant from the Strategic Growth Council (SGC) for the Franklin Community Climate and Revitalization Playbook, and \$23,899 in previously unallocated local and grant funds to support planned activities in this fiscal year. Other minor administrative modifications and changes to project descriptions more accurately reflect staff workloads and tasks for the remainder of the fiscal year, but do not effect the overall budget.

4. Discussion/Analysis:

Attachment A shows the changes in overall expenditures by major work element. The primary changes include:

- Add a new project for the Franklin Community Climate and Revitalization Playbook funded by a \$170,000 grant from the Strategic Growth Council. This grant will pass-through to the Franklin Neighborhood Development Council.
- Add \$77,285 to Core and Long-Range Planning Activities to reflect likely staff workloads for the remainder of the fiscal year. Most of these funds, about \$60,000, come from the reallocation of existing funding included in the Planning Grant and Partnership Projects element to core activities. The balance of the increase comes from local funds that were previously unallocated for this fiscal year, but is within the amount of total revenues included in the current board approved budget.

As shown in Attachment A, the net effect of all revisions is an increase of \$193,899 in expenditure budgets needed to support the FY 2017-18 OWP. Attachment B shows the addition of the \$170,000 in SGC TCC funds. Other revenue sources remain the same and are sufficient to cover increased expenditures.

Attachment C includes a description of the new Franklin Community Climate and Revitalization Playbook as well as two other minor updates to project descriptions listed in the OWP to better reflect the timing of deliverables associated with the projects.

With Board authorization, the Chief Executive Officer will submit FY 2017-18 OWP Amendment #5 to Caltrans and federal partners for final approval. The Board Resolution proposed for adoption of the budget changes presented in this report is included as Attachment D.

5. Fiscal Impact/Grant Information:

Amendment #5 to the FY 2017-18 OWP will add \$193,899 in expenditures coming from a \$170,000 Strategic Growth Council grant and \$23,899 in local funds that were previously available, but unallocated in the current fiscal year.

ATTACHMENTS:

Description

Attach A - Expenditure Summary

Attach B- Revenue Summary

Attach C - Project Descriptions

Attachment D - Resolution

Summary of Expenditures by Major Work Element

Element	OWP Amendment #4 Expenditures	OWP Amendment #5 Expenditures	Difference	Notes
100 - Core and Long-Range Member, Agency, and Transportation Services and Planning Activities	\$10,988,195	\$11,065,480	\$77,285	Reallocated staff costs from grant and partner funded projects to core funded activities to reflect shifts in staff workload for the remainder of the fiscal year. The grant and partner funds will carry into the fiscal year 18/19 budget and the total increase in core funded activities is less than the total core revenues available in fiscal year 2017/18.
200 - Discretionary Transportation Planning Grant Activities	\$3,006,517	\$3,012,779	\$6,262	Increasing staff costs for FY 17/18 for the Rural & Disadvantaged Areas Ride-Sharing Alternatives Planning Study. Total staff costs for this fiscal year and next fiscal year remain within the total project budget and grant funds.
220 - Other Planning Grant and Partnership Projects	\$1,976,519	\$2,086,871	\$110,352	Added \$170 thousand in Strategic Growth Council grant funds for the Franklin Community Climate and Revitalization Playbook. These funds represent a pass-through to the Franklin Neighborhood Development Corporation. Shifted \$60 thousand in staff costs to Core and Long Range Activities to reflect changes in staff workloads.
300 - Regional Bike Share Projects	\$1,917,476	\$1,917,476	\$0	
301 - Streetcar Projects	\$2,986,481	\$2,986,481	\$0	
302 - Connect Card Project	\$2,615,579	\$2,615,579	\$0	
400 - Pass-Through to Other Agencies	\$12,824,378	\$12,824,378	\$0	
500 - Services to Other Agencies	\$4,143,057	\$4,143,057	\$0	
Indirect Costs Carry Forward	(\$347,032)	(\$347,032)	\$0	
Total OWP Expenditures	\$40,111,170	\$40,305,069	\$193,899	

**FY 2017-18 Overall Work Program (OWP) Amendment #5
Summary of Revenue Changes by Funding Source**

Funding Source	Amend #4	Amend #5	\$ Change	%	Ref
Federal Funding Sources	\$ 26,477,407	\$ 26,477,407	\$ -	0.0%	
Federal Highway Administration - Metropolitan Planning (PL)	\$ 2,913,131	\$ 2,913,131	\$ -	0.0%	
Federal Transit Administration (Section 5303)	\$ 976,478	\$ 976,478	\$ -	0.0%	
FHWA PL Carryover	\$ 171,443	\$ 171,443	\$ -	0.0%	
FTA 5303 Carryover	\$ 2,804	\$ 2,804	\$ -	0.0%	
Federal Transit Administration (Section 5304)	\$ 126,059	\$ 126,059	\$ -	0.0%	
Federal Transit Administration (Section 5307)	\$ 2,613,665	\$ 2,613,665	\$ -	0.0%	
Federal Transit Administration (Section 5316 and 5317 pass-through)	\$ 814,720	\$ 814,720	\$ -	0.0%	
FHWA SPR/Caltrans Planning Grants	\$ 818,603	\$ 818,603	\$ -	0.0%	
Congestion Mitigation and Air Quality	\$ 15,899,234	\$ 15,899,234	\$ -	0.0%	
Regional Surface Transportation Program	\$ 1,636,107	\$ 1,636,107	\$ -	0.0%	
FTA TOD Pilot Program Grant	\$ 505,163	\$ 505,163	\$ -	0.0%	
State Funding Sources	\$ 4,346,373	\$ 4,516,373	\$ 170,000	3.9%	
Planning, Programming, Monitoring	\$ 984,712	\$ 984,712	\$ -	0.0%	
SB1 Sustainable Communities Competitive Grants	\$ 642,500	\$ 642,500	\$ -	0.0%	
SB1 Sustainable Communities Formula Funds	\$ 839,292	\$ 839,292	\$ -	0.0%	
High Occupancy Vehicle Fines	\$ 27,000	\$ 27,000	\$ -	0.0%	
Strategic Growth Council - Prop 84 Funding	\$ 249,210	\$ 249,210	\$ -	0.0%	
Strategic Growth Council - TCC Funding	\$ -	\$ 170,000	\$ 170,000	NA	1
State of California Food and Agriculture	\$ 245,280	\$ 245,280	\$ -	0.0%	
Low Carbon Transit Operations Program (LCTOP)	\$ 188,379	\$ 188,379	\$ -	0.0%	
Public Transportation Modernization, Improvement & Service Enhancement Account (PTMSEA)	\$ 1,170,000	\$ 1,170,000	\$ -	0.0%	
Local Funding Sources	\$ 5,736,527	\$ 5,736,527	\$ -	0.0%	
Transportation Development Act - Planning & Administration	\$ 3,063,314	\$ 3,063,314	\$ -	0.0%	
Placer County Transportation Planning Agency (PCTPA) RPA	\$ 313,000	\$ 313,000	\$ -	0.0%	
Placer County Transportation Planning Agency (PCTPA) LTF	\$ 151,606	\$ 151,606	\$ -	0.0%	
EI Dorado County Transportation Commission (EDCTC) LTF	\$ 87,503	\$ 87,503	\$ -	0.0%	
Other Local Revenues (grants, etc.)	\$ 2,121,104	\$ 2,121,104	\$ -	0.0%	
Services to Others	\$ 4,209,137	\$ 4,209,137	\$ -	0.0%	
Capitol Valley Regional SAFE	\$ 4,006,673	\$ 4,006,673	\$ -	0.0%	
Glenn County SAFE	\$ 2,464	\$ 2,464	\$ -	0.0%	
Sacramento County (ALUC)	\$ 200,000	\$ 200,000	\$ -	0.0%	
In-Kind Funds from Others	\$ 1,376,050	\$ 1,376,050	\$ -	0.0%	
SECAT Program	\$ 1,129,800	\$ 1,129,800	\$ -	0.0%	
Transportation Management Associations (TMAs)	\$ -	\$ -	\$ -	NA	
Remaining In-Kind	\$ 246,250	\$ 246,250	\$ -	0.0%	
Use of Fund Balance	\$ 2,080,006	\$ 2,080,006	\$ -	0.0%	
Use of SACOG Managed Fund Committed to Projects	\$ 1,049,814	\$ 1,049,814	\$ -	0.0%	
Deferred Revenues	\$ 262,732	\$ 262,732	\$ -	0.0%	
Carryover (Core Revenues)	\$ 767,460	\$ 767,460	\$ -	0.0%	
TOTAL REVENUES	\$ 44,225,500	\$ 44,395,500	\$ 170,000	0.4%	

Reference

¹ Added SGC Transformative Climate Communities Grant

NEW PROJECT

FRANKLIN COMMUNITY CLIMATE AND REVITALIZATION PLAYBOOK
 (Transformative Climate Communities Grant)

Project #220-xxx-xx

This project is a partnership of SACOG, the Franklin Neighborhood Development Corporation, City and County of Sacramento, other public agencies, nonprofits and community-based organizations to develop a Community Climate and Revitalization Playbook of implementation strategies to benefit Sacramento’s disadvantaged North Franklin community. The Playbook will leverage existing plans to ensure meaningful and effective incorporation of infrastructure, transportation, climate adaptation, economic and workforce development, infill development, and anti-displacement strategies, ultimately supporting economic growth, social equity, and greenhouse gas reduction, while building ongoing local capacity for coordination and community engagement.

This work will be performed by Franklin Neighborhood Development Corporation (FNDC), with support from SACOG and other partners.

Tasks and *End Products*:

- a. Coordinate regular partner meetings to develop/refine Playbook strategies, identify community engagement needs and methods (February 2019)
- b. Coordinate with issue sub-groups to identify and document strategies to bring to partners for Playbook development (February 2019)
- c. Coordinate with City/County on codes, gentrification/displacement policies (February 2019)
- d. Coordinate with partners on planning and carrying out community engagement efforts (Ongoing)
- e. Attend partner meetings on related projects, planning efforts; disseminate information and notices of funding opportunities to relevant partners (Ongoing)
- f. Complete draft Playbook (March 2019)**
- g. Coordinate/facilitate community input/feedback on draft Playbook (March 2019)
- h. Complete final Playbook (April 2019)**
- i. Coordinate partners to assess Playbook strategies for funding applications (Ongoing)

Total Expenses	\$170,000
Pass-Through	\$ 170,000

Total Revenues (includes deferred)	\$ 170,000
SGC TCC Planning Grant	\$ 170,000

RURAL & DISADVANTAGED AREAS RIDE-SHARING ALTERNATIVES PLANNING STUDY

Project #200-003-28

(Caltrans Transit Planning for Rural Communities Grant)

SACOG will spearhead a planning study of ridesharing alternatives to serve seniors, persons with disabilities and low-income families living in very rural communities in the Western portion of El Dorado County, Yolo County, and Yuba and Sutter Counties where public transit service is limited, or non-existent and too costly to provide. SACOG will research successful rural transportation models and work with stakeholders and community residents to detail service gaps, identify locally preferred ride-sharing alternatives, assess feasibility and costs, and develop implementation strategies and next steps.

This work will be performed by SACOG staff.

Tasks and **End Products:**

- a. Project Coordination (Ongoing)
- b. Conduct gap and demand analysis (December 2017)
- c. Review ride-sharing options/models for rural areas (December 2017)
- d. Refine services/models to study (~~January-April~~ 2018)
- e. Refine service alternatives (~~March-June~~ 2018)
- f. Draft feasibility plans and implementation strategies (~~May-July~~ 2018)
- g. Review draft feasibility plans with stakeholders (~~May-August~~ 2018)
- h. Complete planning studies and implementation strategies (~~June-September~~ 2018)
- i. Draft Planning Studies and Implementation Strategies (~~May-August~~ 2018)**
- j. Final Planning Studies (~~June-September~~ 2018)**
- k. Quarterly Reports/Invoices (Quarterly)**

Total Expenses	\$84,100
Salaries and Fringe	\$ 54,284
Indirect	\$ 20,446
Other	\$ 9,370

Total Revenues (includes deferred)	\$ 96,541
FTA 5304	\$ 85,460
4-County TDA - Match	\$ 846
Other State or Local	\$ 10,235

* Total Revenues do not include Toll Credit Match

STREETCAR TOOLKIT

PROJECT #301-009-03

(Federal Transit Administration grant)

This project will develop a Toolkit of policy and regulatory changes to remove barriers and facilitate TOD in the area around the planned 3.3-mile Streetcar corridor linking West Sacramento, Downtown and Midtown Sacramento. The project includes updates to specific plans, design guidelines, zoning codes, development and engineering standards, analysis and strategies for potential displacement/gentrification, and development of a Downtown Specific Plan EIR for Sacramento.

This work will be performed by SACOG, Cities of Sacramento and West Sacramento, and outside consultants.

Tasks and *End Products*:

- a. *Revised West Sacramento Design Guidelines, Architectural Standards (January-December 2018)*
- b. *Development Standards, Streetcar Interface Engineering Standards (January-December 2018)*
- c. *West Sacramento Parking Study and Revised Urban Parking Standards (January-December 2018)*
- d. *Zoning Code Changes (January-December 2018)*
- e. *Sacramento Historical Resources Surveys (January-May 2018)*
- f. *West Sacramento TOD Density Incentives (January-December 2018)*
- g. *Sacramento Infrastructure Study and Financing Plan (January-May 2018)*
- h. *Gentrification/Displacement Analysis and Strategy Recommendations (January-September 2018)*
- i. *Sacramento Downtown Specific Plan EIR (January-May 2018)*

Total Expenses	\$506,548
Salaries and Fringe	\$ 7,457
Indirect	\$ 2,809
Other	\$ 496,282

Total Revenues (includes deferred)	\$ 506,548
FTA TOD Pilot Grant	\$ 505,163
4-County TDA - Match	\$ 1,385

* Total Revenues do not include Toll Credit Match



SACRAMENTO AREA COUNCIL OF GOVERNMENTS

RESOLUTION NO. 42 – 2018

**APPROVING OVERALL WORK PROGRAM AND BUDGET AMENDMENT #5
FOR FISCAL YEAR 2017-18**

WHEREAS, the Sacramento Area Council of Governments (SACOG) is the Metropolitan Planning Organization (MPO) for the Sacramento Metropolitan area and the Yuba City/Marysville Urbanized area, the Regional Transportation Planning Agency (RTPA) for Sacramento, Yolo, Yuba and Sutter counties, the Areawide Clearinghouse for the cities and counties that are signatories of the SACOG Joint Powers Agreement, the Airport Land Use Commission for the counties of Sacramento, Sutter, Yolo and Yuba and a Joint Powers Agency with the purposes and functions defined in the Joint Powers Agreement; and

WHEREAS, annually each MPO/RTPA in California is required to develop and submit for state and federal approval an Overall Work Program (OWP) adopted by its Governing Board; and

WHEREAS, SACOG's OWP describes the continuing, comprehensive, and coordinated metropolitan planning process for the six-county Sacramento region, including annual agency revenues and expenditures; and

WHEREAS, SACOG's OWP is used by Caltrans, federal agencies, and others to track activities of SACOG, Caltrans, the El Dorado County Transportation Commission (EDCTC), and the Placer County Transportation Planning Agency (PCTPA); and

WHEREAS, amendments to the OWP are typical throughout the year to modify or add projects or revenues, change project descriptions, and adjust staff and expenditures between OWP activities; and

WHEREAS, approval is requested for Amendment #5 to the OWP and Budget for FY 2017-18 to include a new Strategic Growth Council Grant awarded to SACOG and several other minor modifications to the budget;

NOW THEREFORE, BE IT RESOLVED, that the SACOG Board of Directors hereby approves Amendment #5 to the OWP and Budget for FY 2017/18 including total expenditures of

\$40,305,069 and authorizes its submission to Caltrans for review and approval.

PASSED AND ADOPTED, this 19th day of April 2018, by the following vote of the Board of Directors:

AYES:

NOES:

ABSTAIN:

ABSENT:

Jay Schenirer
Chair

James Corless
Chief Executive Officer