10 Model System Equilibration

10.1 Background and Concepts

SACSIM design has a cyclical relationship between network performance and trips: DAYSIM and the auxiliary trip models use network performance measures to model person-trips, which are then loaded to the network, determining congestion and network performance for the next iteration. The model system is in equilibrium when the network performance used as input to DAYSIM and the other trip models matches the network performance resulting from assignment of the resulting trips. Network performance for this purpose is times, distances, and costs measured zone-to-zone along the least-time paths (or more specifically, the paths of least generalized cost).

The theory of system equilibrium was developed based on trip-based models\textsuperscript{13}. A wide range of trip-based models have a fixed point solution for all zone-to-zone and link flows, which can be solved with proper algorithms. These have been rare in practice until the 1990s, which saw development of many convergent model systems.

Almost all convergent trip-based models, at some stage in an iteration process, use the method of convex combinations. This is to update the current best solution of flows (zone-to-zone matrices and/or link volumes) with a weighted average of the previous best solution of those flows ($x_{i-1}$), and an alternative set of flows calculated by the new iteration shown in Equation 10-1. The first iteration normally uses network performance skim matrices based on free-flow link times.) When flows are combined in this manner, the result meets the same conservation-of-flow constraints as the iteration matrices.

\textbf{Equation 10-1 SACSIM Iteration}

\begin{equation}
(y_i) : \quad x_i = (1 - \lambda) x_{i-1} + \lambda y_i
\end{equation}

Where the step size $\lambda$ must satisfy $0 < \lambda \leq 1$. In the first iteration, there is no $x_{i-1}$, so $\lambda$ must be 1.

Several trip-based model systems are defined so that the step size can be chosen at each iteration to optimize an objective function, or approach the solution to a variational inequality. But most models in practice do not satisfy those models’ specific requirements, so the step size must be predetermined. The classic reliable workhorse is the Method of Successive Averages (MSA). This reliably converges for a wide range of models for which there is no determination of an iteration’s optimal $\lambda$. This method chooses $\lambda = 1/i$, so that, in effect, after any iteration $n$, the solution approximation is the average of all the iteration-result vectors computed so far:

$$x_i = \frac{y_1 + y_2 + \ldots + y_i}{i}.$$  

Some trip-based models converge reliably and more efficiently with a fixed step size\(^{14}\), though care must be taken in the choice of that step size, which depends on the problem.

Equilibrium theory of trip-based models has unfortunately not been extended into activity-based models directly. In these, zone-to-zone flows are only an indirect result of more complex behavior models which cannot be reduced to the terms of the established equilibrium trip-based models. Activity models also have excessively vast choice sets to be able to split travel among all alternatives in proportion to their probability. Consequently, most, such as DAYSIM, are applied as Monte Carlo processes, randomly generating one outcome (household trip diary) per unit of analysis (household or person), and then aggregating the trips as zone-to-zone flows. Thus, the equilibration procedure employed by trip-based models can be applied by activity based model.

The equilibration procedure in SACSIM employs equilibrium assignment iteration loops (a-iterations) nested within iterations between the demand and assignment models (da-iterations).

Assignment is run for nine time periods, and each one employs multi-class equilibrium assignment, with classes composed of SOV, HOVs not using median HOV lanes, and HOVs using them. A convex combinations algorithm is used, with the step size $\alpha$ determined automatically by the Cube Voyager software, and closure criteria determined by the user: maximum number of iterations ($N_i$), and relative gap as defined by CUBE VOYAGER ($g_i$). Iterations stop when one of the closure criteria is satisfied.

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There are several points in the model stream where it is possible to apply convex combinations as a “blending” of trips and/or volumes. The following are prevalent in the literature for convergent models:

1. “Pre-assignment blending” - Blend the trip demand matrices from the system-iteration’s demand model, with the previous system-iteration’s blended trips, into a weighted average\(^\text{15}\). Then assign these new blended trips in equilibrium.

2. “Post-assignment blending” - assign the new iteration trips alone in equilibrium, then blend those volumes with the previous system-iteration’s blended link volumes\(^\text{16}\).

3. Assign each iteration’s trips in an all-or-nothing assignment on the same paths used to derive the skims\(^\text{17}\). Most modeling software, and the several whole-matrix processes in SACSIM (and most trip-based models) conspire against the practicality of such an approach. Consequently, the Evans model and numerous generalizations\(^\text{18}\) are rarely used in practice.


\(^{16}\) Boyce, David, et.al. (1994), ibid.

\(^{17}\) Evans (1976) ibid.

10.2 SACSIM19 Equilibrium Solution Procedure

As mentioned in Chapter 3 and 9, SACSIM19 has two important enhancements to support the modeling needs of the 2020 MTP/SCS: VOT class and pricing. SACSIM19 has three VOT classes, where previous SACSIM versions only had one during trip tables and assignment development. This enhancement increases the skimming from one class to three classes, and thus affects a traveler’s choices based on its VOT class as well as road use choice when pricing is applied. The pricing modeling is included in SACSIM19 to model how pricing affect travelers’ behavior and then GHG emissions.

Both enhancements increase not only the complexity of modeling but also the model run time. To make a balance between the modeling capacities and the computer running time, SACSIM19 adopts the same system equilibrium procedure as SACSIM15 but different specifications in a-iterations and da-iterations.

SACSIM19’s equilibrium procedure also includes pricing optimization for tolled facilities, described in more detail in Chapter 9.

Pricing optimization in SACSIM19 is implemented after the initial assignment converges and forms an internal feedback loop of up to 5 toll iterations around each assignement. There, including toll optimization takes a much longer time to converge. After many trial runs, five loops are specified as the maximum number of iterations. In the full gloabal model iterations, SACSIM19 run three iterations with 100% sampling rates of households and persons for each iteration.