



SACOG Board of Directors

November 29, 2012

Approve Delegation of Authority to the Strategic Planning Committee to Approve the Distribution of Proposition 1B Transit System Safety, Security, and Disaster Response Account Bond Funds

Issue: Board delegation to the January 2013 Strategic Planning Committee is being sought for approval of funding recommendations that must be submitted to the State of California before the full Board meets again in January 2013.

Recommendation: The Transportation Committee recommends that the Board delegate authority to the Strategic Planning Committee in January 2013 to adopt a resolution approving regionally-funded transit safety and security projects recommended by SACOG staff and the Transit Coordinating Committee (TCC).

Committee Action/Discussion: At the Transportation Committee meeting, Director Cabaldon requested that staff provide funding recommendations to the committee members prior to the Strategic Planning Committee mail-out. The funding recommendations will include a discussion as to how a project proposed for funding supports Board-endorsed policy outcomes related to safety, security, and disaster response.

The California Emergency Management Agency (CalEMA) has requested Project Investment Justifications (transit safety and security project applications) for the Fiscal Year (FY) 2012/13 California Transit Security Grant Program. SACOG is responsible for administering a portion of these funds allocated through regional transportation planning agencies. The short turnaround time between the release of the program guidance and the deadline for submitting a SACOG resolution approving the list of projects to CalEMA does not leave sufficient time to take the list of projects to the full Board at its December 6 meeting.

CalEMA published funding allocations and the *Draft Program Guidelines and Application Kit for the FY 2012-13 California Transit Security Grant Program* for Proposition 1B Transit System Safety, Security and Disaster Response Account (TSS) funds on November 2, 2012. A total of \$60 million was apportioned to the account and allocated statewide for FY 2012-13. For the SACOG region, \$2,158,763 is available; \$1,398,859 is allocated through SACOG as the Regional Transportation Planning Agency (RTPA) for Sacramento, Sutter, Yolo and Yuba counties; the remaining \$759,904 is allocated directly to transit operators in these counties. Single county RTPAs in El Dorado and Placer counties receive their TSS account funds separately and will complete separate programming efforts.

Sponsors of transit safety and security projects have until December 6, 2012, to submit their funding requests and applications to SACOG. A review committee comprised of SACOG staff will review the applications using the *Guidelines and Evaluation Form* approved by the SACOG Board on April 10, 2008. An updated version of the guidelines and evaluation form is attached to this item. The SACOG guidelines are consistent with CalEMA's 2012/13 program guidelines and application materials. This program also helps to support the MTP/SCS policies and strategies to seek out funding opportunities and work with transit operators to improve the safety and security of the regional transit systems (see MTP/SCS Strategy 14.4 and Policy 20).

Following review of operator applications, SACOG staff will bring a set of recommendations to the Transit Coordinating Committee (TCC) for discussion and endorsement at its December 19, 2012, meeting. Staff proposes to present the TCC's final recommendations for funding to the Strategic Planning Committee at its

January 7, 2013, meeting and ask the committee to use its delegated authority to adopt a resolution approving the TCC recommendations. SACOG staff will then work with the project sponsors to submit Project Investment Justifications to CalEMA for those projects using regional funds by the deadline date of January 15, 2013. CalEMA will review these submittals and identify which projects will be awarded funds and issue conditional awards based on the project sponsors providing additional required documentation.

Approved by:

Mike McKeever
Chief Executive Officer

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Attachment

Key Staff: Matt Carpenter, Director of Transportation Services, (916) 340-6276
Clint Holtzen, Transportation Planner, (916) 340-6246

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SACOG Guidelines and Application

Proposition 1B California Transit Security Grant Program

FY 2012-2013 Allocation Amount by Jurisdiction		
<u>GC 8879.58(a)(3)</u>	<u>2012-13 Allocation</u>	<u>Percent Revenue</u>
Davis	\$20,292	2.67%
Elk Grove	\$6,522	0.86%
Folsom	\$2,188	0.29%
Regional Transit	\$706,507	92.97%
YCTD	\$16,426	2.16%
<u>Yuba-Sutter Transit</u>	<u>\$7,969</u>	<u>1.05%</u>
Total	\$759,904	100.00%
<u>GC 8879.58(a)(2)</u>	<u>2012-13 Allocation</u>	<u>Percent of Pop.</u>
Sacramento County	\$1,118,690	79.97%
Sutter County	\$72,119	5.16%
Yolo County	\$153,836	11.00%
<u>Yuba County</u>	<u>\$54,213</u>	<u>3.88%</u>
Region	\$1,398,859	100.00%

Pursuant to Gov Code subdivision (a) of Section 8879.57, allocations are based on the shares received from the State Transit Assistance Fund pursuant to Sections 99313 (for 8879.58(a)(2) funds) and 99314 (for 8879.58(a)(3) funds) of the Public Utilities Code, over fiscal years 2004-05, 2005-06, and 2006-07.

The Proposition 1B California Transit Security Grant Program funds for FY 2012-2013 are outlined in the table above. A total of \$60 million was apportioned to the account and allocated statewide for FY 2012-13. For the SACOG region, a total of \$2,158,763 was allocated from the state; \$1,398,859 must be sub-allocated by SACOG as the Regional Transportation Planning Agency (RTPA). The remaining \$759,904 is allocated directly to transit operators in Sacramento, Sutter, Yolo, Yuba and counties.

All projects hoping to use GC 8879.58(a)(2) funds must apply through SACOG. Applications, including Investment Justifications, must be emailed to Clint Holtzen (choltzen@sacog.org) by close of business on December 6, 2012.

SACOG will use the Project Evaluation Form and Application (attached) to rank potential projects. SACOG will score project applications and bring recommendations to the TCC at the December 19th committee meeting.

SACOG will adopt a resolution distributing the GC 8879.58(a)(2) funds to successful applicants. SACOG will forward this resolution along with the Investment Justifications to the California Emergency Management Agency (CalEMA) by the state deadline of January 15, 2013.

Upon receipt of a Notice of Project Eligibility (NOPE) from CalEMA, grant recipients will be required to submit additional supporting application documents directly to CalEMA within six weeks. Recipients must also submit biannual performance reports as outlined in the CTSGP-CTAF Guidelines. For FY 2012-2013, approved projects must be completed by March 31, 2016.

SACOG Project Evaluation Form and Application

FY 2011-2012 Transit System Safety, Security, & Disaster Response Account

Project Sponsor:

Project Location:

Project Title:

Project Category: (select one)

- Construction or renovation projects that are designed to enhance the security of public transit stations, tunnels, guideways, elevated structures or other transit facilities and equipment
- Explosive device mitigation and remediation equipment
- Chemical, biological, radiological and nuclear explosives search, rescue or response equipment
- Interoperable communications equipment
- Physical security enhancement equipment
- The installation of fencing, barriers, gates or related security enhancements that are designed to improve the physical security of transit stations, tunnels, guideways, elevated structures or other transit facilities and equipment
- Other security and safety related projects approved by Cal EMA
- A capital project that increases the capacity of operators to prepare for disaster response transportation systems that can move people, goods, emergency personnel and equipment in the aftermath of a disaster
- Other allowable costs under California Government Code 16727 (a) – see Cal EMA guidelines

Project Description (including useful life of capital):

Project Requirements:

Transit Capital Project	Yes	No
Included in SRTP and TDA Capital Plan	Yes	No
Begin Project within Two Years	Yes	No
Cost Increases of a Programmed Project	Yes	No
Asset's Useful Life is Sufficient for Bond Law	Yes	No
Has the State Controller's Office Recognized Sponsor	Yes	No
Will funds be expended by March 31, 2016	Yes	No

Project Evaluation Criteria:

Speed / Ability = 20% of Score	Performance = 60% of Score	Regional Public Benefit = 20% of Score
Environmental Documents and Engineering Plans are Complete	Benefits Beyond One Transit Operator	With TSGP Funds is 100% Funded
Cost vs. Likelihood Score	Maintenance and Operations Budget Secured	Other Funding Sources Secured
	Adds Security Function where little or none exist	

Project Information

(Feel free to copy and paste or reference responses to the Investment Justification questions where relevant)

1. What is the status of the project's environmental documents, engineering plans, or other required documents if applicable?
2. Please explain the likelihood and magnitude of the event this project is intended to address? Is the cost proportional to the likelihood and magnitude of the event? Explain.
3. Does this project carry benefits beyond the sponsoring agency? Explain.
4. Has the sponsor agency considered the cost of operating and maintaining this project? Are sufficient funds available to do this? Explain
5. Does this project add a security function(s) where little or none exist? Explain.
6. Is this project 100% funded, including TSGP and other funds? Please identify the funding sources secured for this project in addition to the TSGP funds requested.